

Kansas Register

Ron Thornburgh, Secretary of State

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Legislature

Interim Committee Schedule

The following committee meetings have been scheduled during the period of July 6-13. Requests for accommodation to participate in committee meetings should be made at least two working days in advance of the meeting by contacting Legislative Administrative Services at (785) 296-2391 or TTY (785) 296-8430. When available, agendas can be found at http://kslegislature.org/klrd.

Date	Room	Time	Committee	Agenda
July 6	123-S	1:30 p.m.	Legislative Coordinating Council	Legislative matters.
July 9 July 10	519-S 519-S	10:00 a.m. 9:00 a.m.	Joint Committee on Administrative Rules and Regulations	Agenda not available.
July 10 July 11	123-S 123-S	10:00 a.m. 9:00 a.m.	Joint Committee on Special Claims Against the State	Hearings on claims filed.
July 11	519-S	10:00 a.m.	Legislative Post Audit	Performance Audit Reports — Children's Programs: Reviewing Whether They Are Coordinated to Avoid Duplication and Maximize the Use of Resources; Kansas Dental Practices Act: Determining the Impact of the 1998 Changes to the Act; and Kansas Housing Resources Corporation: Reviewing the Section 42 Housing Tax Credit Program.
July 12 July 13	519-S 519-S	10:00 a.m. 9:00 a.m.	Kiowa County Disaster Relief and Recovery Special Committee	Agenda to be announced.

Jeffrey M. Russell Director of Legislative Administrative Services

Doc. No. 034601

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Legislative Division of Post Audit

Invitation for Bids

Sealed bid proposals in response to Legislative Division of Post Audit invitation for bids on audit work will be received until 10 a.m. Friday, August 3. The invitation covers the following performance audit work:

A security audit of the Kansas Lottery

A copy of the invitation for bids may be obtained from the Legislative Division of Post Audit, 800 S.W. Jackson, Suite 1200, Topeka, 66612, (785) 296-3792, or it may be downloaded from the division's Web site at www.kslegislature.org/postaudit.

Barbara J. Hinton Legislative Post Auditor

Doc. No. 034596

State of Kansas

Department of Transportation

Notice to Consulting Engineers

The Kansas Department of Transportation is seeking qualified consulting engineering firms for the project listed below. A response may be submitted by e-mail to neil@ksdot.org or seven signed copies of the response can be mailed to Neil Rusch, P.E., Assistant to the Director, Division of Engineering and Design, KDOT, Eisenhower State Office Building, 700 S.W. Harrison, Topeka, 66603-3754. Responses shall be limited to four pages and must be received by 1 p.m. July 5 for the consulting engineering firm to be considered.

From the firms expressing interest, the Consultant Selection Committee will select a list of the most highly qualified (not less than three and not more than five) and invite them to attend an individual interview conference. At this time, the consulting firms can more thoroughly discuss their experience related to the type of project at hand and will be expected to discuss, in some detail, their approach to this project and the personnel to be assigned to the project. Firms not selected to be short-listed will be notified by letter.

The Consultant Negotiating Committee, appointed by the Secretary of Transportation, will conduct the discussions with the firms invited to the individual interview conferences. The committee will select the firm to perform the professional services required for completing the advertised project. After the selection of this firm, the remaining firms will be notified by letter of the outcome.

Kansas Long Range Transportation Plan 106 P-0769-07 Statewide

KDOT is currently developing a SAFETEA-LU compliant Long Range Transportation Plan (LRTP) in which a variety of issues ranging from system needs to future funding sources to address those needs are being examined. KDOT has completed Phase 1, a needs assessment and national scan, and is in the process of completing Phase 2, a draft plan. KDOT's LRTP process has been

open and transparent and has incorporated a high-level of stakeholder involvement.

KDOT is seeking consultant services for Phase 3 of the LRTP, which will focus on seeking stakeholder and citizen comments on the draft plan as well as finalizing the LRTP. KDOT is seeking a consultant team with expertise in outreach efforts that can assist in planning and hosting regional meetings to solicit comments on the draft plan, update the LRTP Web site, and finalize the plan.

Phase 3 of the LRTP will run from mid-August through December 2007 with an option to extend the contract through 2009 for assistance with implementation of the LRTP.

It is KDOT's policy to use the following criteria as the basis for selection of the consulting engineering firms:

- 1. Size and professional qualifications;
- 2. experience of staff;
- 3. location of firm with respect to proposed project;
- 4. work load of firm; and
- 5. firm's performance record.

Deb Miller Secretary of Transportation

Doc. No. 034579

State of Kansas

Wireless Enhanced 911 Advisory Board

Notice of Available Grant Funding

Grant funds are available for the Wireless Enhanced 911 state grant program for calendar year 2008. The purpose of the grant program is to provide funding to help Public Safety Answering Points make the required improvements to establish the enhanced wireless services.

These grant funds may be used for necessary and reasonable costs incurred by Public Safety Answering Points for the following: (1) implementation of wireless enhanced 911 services and VoIP 911 services; (2) purchase of equipment or upgrades and modification to equipment used solely to process the data elements of wireless enhanced 911 services and VoIP 911 services; and (3) maintenance and license fees for such equipment and training of personnel to operate such equipment, including costs to train personnel to provide effective services to all users of the emergency telephone system who have communication disabilities. Eligible applicants are any county having a population of less than 75,000, any city located within such a county, or any two or more such counties or cites.

Grant applications can be obtained by contacting the Governor's Grants Program at (785) 291-3205 or may be accessed via the Internet at www.governor.ks.gov (this is to obtain a printed copy only; applications cannot be submitted online).

All grant applications must be received by 5 p.m. Friday, September 7. No applications will be accepted after this date.

Juliene Maska Governor's Grants Program Administrator

State Conservation Commission

Notice of Meeting

The State Conservation Commission will conduct a telephone conference call at 9 a.m. Monday, July 2. Individuals may attend by reporting to the State Conservation Commission's conference room in Suite 500, 109 S.W. 9th, Topeka, or by contacting the commission for dial-in instructions at (785) 296-3600.

Greg A. Foley Executive Director

Doc. No. 034584

State of Kansas

State Conservation Commission

Notice to Contractors

Sealed bids for the construction of a 40,000 cubic yard detention dam, Site I-13 in Greenwood County, will be received by the Fall River Watershed Joint District No. 21 at Central Kansas Engineering Consultants (CKEC), 17 W. 5th, Emporia, 66801, until 5 p.m. July 12. Bids will be opened at 7 p.m. July 12 in the Library's conference room, 7th and Main, Eureka (no telephone/fax available). Bids may be hand delivered prior to bid opening. A copy of the invitation for bids and the plans and specifications can be reviewed and/or obtained from the CKEC office, (620) 343-6621. A \$50 nonrefundable deposit will be assessed for each set of plans requested.

Greg A. Foley Executive Director

Doc. No. 034585

State of Kansas

Social and Rehabilitation Services

Request for Proposals

The Department of Social and Rehabilitation Services announces the release of a request for proposals through Addictions & Prevention Services and Children & Family Services for the Health In Pregnancy (HIP) project. The services provide comprehensive, collaborative services to women working to abstain from substance use during pregnancy and lead substance-free lives following childbirth. The HIP services will be provided in Barton, Ellis and Sedgwick counties.

Vendors interested in receiving a request for proposal should contact Stacy Chamberlain, SRS, Addiction & Prevention Services, 9th Floor South, Docking State Office Buillding, 915 S.W. Harrison, Topeka, 66612, (785) 296-0649 or fax (785) 296-7275, or e-mail at src@srs.ks.gov. Completed proposals must be received not later than 5 p.m. August 15.

Don Jordan Secretary of Social and Rehabilitation Services

Doc. No. 034597

State of Kansas

State Conservation Commission

Notice of Meeting

The State Conservation Commission will have a joint meeting with the Kansas Association of Conservation Districts at 8:30 a.m. Monday, July 16, at the Plaza Hotel, 1911 E. Kansas, Garden City. The regular business meeting of the commission will begin at approximately 10 a.m. Persons requiring special accommodations should contact the commission at (785) 296-3600 at least three days prior to the meeting.

Greg A. Foley Executive Director

Doc. No. 034602

State of Kansas

State Conservation Commission

Notice to Contractors

Sealed bids for the construction of a 70,000 cubic yard detention dam, Site #7 in Greenwood County, will be received by the Otter Creek Watershed Joint District No. 83 at Central Kansas Engineering Consultants (CKEC), 17 W. 5th, Emporia, 66801, until 5 p.m. July 18. Bids will be opened at 7 p.m. July 18 in the Library's conference room, 7th and Main, Eureka (no telephone/fax available). Bids may be hand delivered prior to bid opening. A copy of the invitation for bids and the plans and specifications can be reviewed and/or obtained from the CKEC office, (620) 343-6621. A \$50 nonrefundable deposit will be assessed for each set of plans requested.

Greg A. Foley Executive Director

Doc. No. 034600

(Published in the Kansas Register June 28, 2007.)

Reno County, Kansas

Notice to Bidders be received by the o

Sealed bids will be received by the office of the Reno County Clerk, Reno County Courthouse, 206 W. 1st, Hutchinson, KS 67501, at 9:30 a.m Thursday, July 12, 2007, for the Reno County Public Transportation Facility, Hutchinson. Plans and specifications may be obtained from Landmark Architects & Engineers, 1020 N. Main, Hutchinson, KS 67501, (620) 663-5421, on deposit of \$200 for each set of documents.

Drawings and specifications may be examined at the following plan rooms: Office of the Reno County Clerk, Hutchinson; Kansas Construction News, Wichita; Chamber of Commerce Plan Room, Hutchinson; Kansas Blue Print, Wichita; McGraw Hill Dodge Report, Mission; Chamber of Commerce Plan Room, Great Bend; and Chamber of Commerce Plan Room, Salina. Please address all questions to the office of the architectural firm listed above.

Reno County, Kansas

State Conservation Commission

Notice of Hearing on Proposed Administrative Regulations

The State Conservation Commission will conduct a public hearing at 10 a.m. Tuesday, August 28, in the State Conservation Commission's conference room, fifth floor, Mills Building, 109 S.W. 9th, Topeka, to consider the approval and adoption of proposed amendments to administrative regulations of the Surface Mining Land Conservation and Reclamation Act.

Any individual with a disability may request accommodation in order to participate in the public hearing and may request the proposed regulation amendments and economic impact statements in an accessible format. Requests for accommodation should be made at least five working days in advance of the hearing by contacting Cathy Greene, senior administrative assistant, at (785) 296-3600. Persons with a hearing impairment may call the Kansas Commission for the Deaf and Hard of Hearing at (800) 432-0698 to request special accommodations.

This 60-day notice period prior to the hearing constitutes a public comment period for the purpose of receiving written public comments on the proposed regulation amendments. Prior to the hearing, all interested parties may submit written comments by mail to the chairman of the commission, Suite 500, Mills Building, 109 S.W. 9th, Topeka, 66612-1215, or to cgreene@scc.ks.gov if submitted electronically. All interested parties will be given reasonable opportunity at the hearing to express their views orally in regard to the proposed regulation amendments. During the hearing, all written and oral comments submitted by interested parties will be considered by the commission as a basis for approving, amending and approving, or rejecting the proposed regulation amendments.

A summary of the proposed amendments and the economic impact follows:

Article 6.—SURFACE-MINING LAND CONSERVATION AND RECLAMATION

K.A.R. 11-8-3 and **K.A.R. 11-8-4.** The State Conservation Commission is proposing regulation amendments to increase the fees paid by producers of mined materials, except for coal, oil or gas, from \$40 to \$45 for site registration of affected lands; from \$.002 to \$.003 per ton of material extracted during the previous year; and from \$40 to \$45 per acre of land affected during the previous year.

Economic Impact Summary: The proposed regulation amendments are not anticipated to have appreciable negative impact to landowners, other agencies or departments. However, the increase in fees may be passed on to the consumers of aggregate, building stone and industrial minerals.

Greg A. Foley Executive Director

Doc. No. 034589

State of Kansas

Board of Healing Arts

Notice of Hearing on Proposed Administrative Regulations

A public hearing will be conducted at 10 a.m. Wednesday, August 29, at the office of the Kansas State Board of Healing Arts, 235 S. Topeka Blvd., Topeka, to consider the adoption of one proposed amended rule and regulation (K.A.R. 100-28a-1) dealing with physician assistants.

This 60-day notice of the public hearing shall constitute a public comment period for the purpose of receiving written public comments on the above-referenced rule and regulation. All interested parties may submit comments prior to the hearing to the Board of Healing Arts, 235 S. Topeka Blvd., Topeka, 66603, or by e-mail to healingarts@ink.org. All interested parties will be given a reasonable opportunity to present their views, orally or in writing, concerning the adoption of the regulation during the hearing. In order to give all persons an opportunity to present their views, it may be necessary to request each participant to limit any oral presentations to five minutes.

Any individual with a disability may request accommodation in order to participate in the public hearing and may request the regulation being considered and the economic impact statement in an accessible format. Requests for accommodation should be made at least five working days in advance of the hearing by contacting Barbara Montgomery at (785) 296-8558 or barbaram@ink.org. Handicapped parking is located at the west end of the Hutton Building, and the northwest entrance to the building is accessible.

A summary of the proposed amended rule and regulation to be considered at the hearing and the respective economic impact follows:

K.A.R. 100-28a-1. Fees. This regulation deals entirely with fees collected by the board for physician assistants for license renewal and reinstatement.

This regulation is not mandated by any federal law; however, it is required to implement K.S.A. 2006 Supp. 65-28a03.

The changes in revenues will not result in any economic impact to the public. The profession of physician assistants will bear the costs of the increase in fees for renewal and will be the only people affected by the increase. Based upon the assumption that the amendments to this rule and regulation will become effective prior to the December 31, 2007, expiration date for renewals, the change in revenue to be generated in FY 2008 is \$12,575.

The regulation is required under K.S.A. 2006 Supp. 65-28a03 and, therefore, no other methods were considered.

Copies of the proposed regulation and the associated economic impact statement may be obtained by contacting Cathy Brown, Kansas State Board of Healing Arts, at (785) 296-3680; by visiting the board's Web site at www.ksbha.org/pubinfo.html; or by e-mail request to healingarts@ink.org.

Lawrence T. Buening, Jr. Executive Director

Pooled Money Investment Board

Notice of Investment Rates

The following rates are published in accordance with K.S.A. 75-4210. These rates and their uses are defined in K.S.A. 12-1675(b)(c)(d), 75-4201(l) and 75-4209(a)(1)(B).

Effective 6-25-07 through 7-1-07

Term	Rate
1-89 days	5.24%
3 months	4.50%
6 months	4.85%
1 year	5.00%
18 months	4.95%
2 years	4.92%

Derl S. Treff Director of Investments

Doc. No. 034582

State of Kansas

Department of Administration Division of Facilities Management

Notice of Commencement of Negotiations for Construction Manager At-Risk Services

Notice is hereby given of the commencement of negotiations for construction management at-risk services for the renovation of the first, second and third floors of the east wing of Wescoe Hall on the University of Kansas campus at Lawrence. The construction manager at-risk will provide validation of information prepared by the construction manager as a consultant (selected through a separate and different process; will provide services for the design phase of this project); provide additional budgeting, cost estimating, scheduling, constructability reviews and value engineering studies; divide the project into scopes of work for bidding; prequalify subcontractors and take bids for the work; review bids with the design team and owner to determine bids to accept; prepare cost estimate for the unbid portion and provide a guaranteed maximum price and a bond; and manage construction and track all costs for the design team and owner's review

The project estimate is \$8,445,000. A program is available. General areas of concern include demolition and construction noise control and the requirement for offhour or nonstandard construction procedures to avoid disruption to the academic mission, lack of a staging area, and conflict with ongoing vehicular and pedestrian traffic in the area. The at-risk construction manager's role will be critical in identifying the means, methods and other provisions that will be required to allow this project to be constructed on time and within budget, while meeting an accelerated phased construction schedule, addressing difficult site access conditions and respecting the university's academic mission.

Architectural programs and additional information concerning the scope of services are available from Jim Modig, (785) 864-3433.

For more information concerning the scope of services, contact Jim Modig, (785) 864-3431.

To be considered, five (5) bound statement of qualifications and one (1) PDF file on a CD of the following should be provided: a letter of interest, an SF330 Part I, information regarding similar projects, experience in this type of project delivery system, reference from design professionals and owners from previous projects, description of the construction manager at-risk or general contractor project management approach, financial statements, bonding capacity including capability of providing a public works bond (K.S.A. 60-1111) and evidence of such bonding capacity (note: failure to present such bonding evidence will deem the firm as unqualified for selection), and an SF330 Part II for each firm and consultant. Proposals should be concise and follow the 2007 State Building Advisory Commission guidelines, available to firms at http://da.ks.gov/fp/. If copies of the guidelines are needed, contact Phyllis Fast, Division of Facilities Management, Suite 102, Landon State Office Building, 900 S.W. Jackson, Topeka, 66612, (785) 296-5796, Phyllis.Fast@da.ks.gov. Submittals should be received by Phyllis Fast before noon July 27.

> Marilyn Jacobson, Director Division of Facilities Management

Doc. No. 034593

State of Kansas

Department of Administration Division of Purchases

Notice to Bidders

Sealed bids for items listed will be received by the Director of Purchases until 2 p.m. on the date indicated. For more information, call $(78\overline{5})$ 296-2376:

07/11/2007 10593 07/24/2007 09890 Rebid Animal Feed

Automated Medication Dispensing

System

The above-referenced bid documents can be downloaded at the following Web site:

http://www.da.ks.gov/purch/

Additional files may be located at the following Web site (please monitor this Web site on a regular basis for any changes/addenda):

http://da.state.ks.us/purch/adds/default.htm

Contractors wishing to bid on the projects listed below must be prequalified. Information regarding prequalification, projects and bid documents can be obtained by calling (785) 296-8899 or by visiting www.da.ks.gov/fp/.

07/19/2007 A-010414 Smissman Labs Renovation for Eli Michaelis, University of Kansas, Lawrence

> Chris Howe Director of Purchases

Department of Health and Environment

Notice Concerning Kansas/Federal Water Pollution Control Permits and Applications

In accordance with Kansas Administrative Regulations 28-16-57 through 63, 28-18-1 through 15, 28-18a-1 through 32, 28-16-150 through 154, 28-46-7, and the authority vested with the state by the administrator of the U.S. Environmental Protection Agency, various draft water pollution control documents (permits, notices to revoke and reissue, notices to terminate) have been prepared and/or permit applications have been received for discharges to waters of the United States and the state of Kansas for the class of discharges described below.

The proposed actions concerning the draft documents are based on staff review, applying the appropriate standards, regulations and effluent limitations of the state of Kansas and the Environmental Protection Agency. The final action will result in a Federal National Pollutant Discharge Elimination System Authorization and/or a Kansas Water Pollution Control permit being issued, subject to certain conditions, revocation and reissuance of the designated permit or termination of the designated permit.

Public Notice No. KS-AG-07-181/184 Pending Permits for Confined Feeding Facilities

U		O
Name and Address of Applicant	Legal Description	Receiving Water
Glenwood Farms of Butler County LLC	NW/4 of Section 32, T23S, R04E, Butler	Walnut River Basin
Alan Claassen	County	Buom
1195 N.W. Santa Fe Lake Road		
Whitewater, KS 67154		
Kansas Permit No. A-WABU-	-S025	

This permit is a reissued permit for an existing, expanding facility for 1,038 head (415.2 animal units) of swine greater than 55 pounds and 1,344 head (134.4 animal units) of swine less than 55 pounds. Two additional enclosed swine buildings with an underground concrete manure storage pit will be constructed in the northeast area of the facility property.

Name and Address of Applicant	Legal Description	Receiving Water
Dale Love 14010 Lake Cable Road Partridge, KS 67566	SW/4 of Section 31, T24S, R07W, Reno County	Lower Arkansas River Basin

Kansas Permit No. A-ARRN-S009

This is a renewal permit with modification for an existing facility for 900 head (360 animal units) of swine weighing greater than 55 pounds. The permit modification is due to a decrease of 600 head of swine and the removal of cattle from the permit.

Name and Address of Applicant	Legal Description	Receiving Water
Winchester Hog Building	NW/4 of Section 11,	Missouri River
David G. Halling	T04S, R19E,	Basin
349 180th Road	Doniphan County	
Severance, KS 66087	1	

Kansas Permit No. A-MODP-S012

This is a renewal permit for an existing facility for 900 head (360 animal units) of swine greater than 55 pounds each.

Name and Address
of Applicant

Century Feeders, Inc.
6845 Road 17

Legal
Receiving
Water

Upper Republican
River Basin

Goodland, KS 67735 Sherman County Kansas Permit No. A-URSH-C001 Federal Permit No.: KS0040592

This is a renewal permit for an existing facility for 15,000 head (15,000 animal units) of beef cattle weighing more than 700 pounds.

Public Notice No. KS-07-062/064

Name and Address of Applicant Stream Discharge

Dexter, City of Grouse Creek P.O. Box 6 Wastewater

Dexter, KS 67038

Kansas Permit No. M-AR30-OO01 Federal Permit No. KS0022667 Legal Description: SW¹/₄, S13, T33S, R6E, Cowley County

Facility Description: The proposed action is to reissue an existing permit for an existing wastewater treatment plant treating primarily domestic wastewater. The proposed permit contains limits for biochemical oxygen demand and total suspended solids, as well as monitoring for ammonia, fecal coliform/E. coli and pH. The permit requirements are pursuant to the Kansas Surface Water Quality Standards, K.A.R. 28-16-28(b-f), and Federal Surface Water Criteria, and are technology based.

Name and Address of Applicant	Receiving Stream	Type of Discharge
Frankfort, City of 109 N. Kansas	Black Vermillion River Little Timber	Groundwater Remediation
Frankfort, KS 66427	Creek via Unnamed	Kemediation
·	Tributary	

Kansas Permit No. I-BB07-PO02 Federal Permit No. KS0099104 Legal Description: SW¹/₄, NE¹/₄, NE¹/₄, S16, T4S, R9E, Marshall County

Facility Description: The proposed action is to issue a new permit for an existing groundwater remediation project. This groundwater remediation facility uses granulated activated carbon (GAC) to removed carbon tetrachloride from groundwater. To keep the contaminated plume from contaminating other water, the city pumps water continuously and treats it through the GAC system. When the city water tower needs more water, the GAC discharge is routed to the public water supply treatment system. When no additional water is needed in the water tower, the discharge from the GAC unit is routed to a receiving stream network. In addition, the GAC filters are backwashed with treated chlorinated (potable) water. The proposed permit requires the permittee to monitor for carbon tetrachloride. There is no domestic wastewater produced at this facility. The permit requirements are pursuant to the Kansas Surface Water Quality Standards, K.A.R. 28-16-28(b-f), and Federal Surface Water Criteria, and are water-quality based.

Name and Address of Applicant	Receiving Stream	Type of Discharge
of Applicant	Stream	Discharge
Walton, City of	Sand Creek via	Domestic
P.O. Box 200	Beaver Creek via	Wastewater
Walton, KS 67151	Unnamed Tributary	

Kansas Permit No. M-LA17-OO01 Federal Permit No. KS0026140

Legal: NE¹/₄, NW¹/₄, SW¹/₄, S19, T22S, R2E, Harvey County

Facility Description: The proposed action is to reissue an existing permit for an existing wastewater treatment plant treating primarily domestic wastewater. The proposed permit contains limits for biochemical oxygen demand and total suspended solids, as well as monitoring for ammonia, fecal coliform/E. coli and pH. The permit requirements are pursuant to the Kansas Surface Water Quality Standards, K.A.R. 28-16-28(b-f), and Federal Surface Water Criteria, and are technology based.

Public Notice No. KS-ND-07-020

Kansas Permit No. M-UA29-NO01 Federal Tracking No. KSJ000270

Facility Description: The proposed action is to reissue an existing permit for operation of an existing nonoverflowing lagoon wastewater treatment facility. The draft permit contains supplemental conditions for irrigation of wastewater and monitoring requirements on the irrigated water to assure proper operation of the treatment system. Included in this permit is a schedule of compliance requiring the permittee to obtain the services of a KDHE-certified wastewater treatment plant operator to achieve compliance with this permit. Discharge of wastewater from this treatment facility to surface waters of the state of Kansas is prohibited by this permit.

Public Notice No. KS-PT-07-008

Name and Address of Applicant Facility Discharge

Abco Wire and Metal Russell MWWTP Process
Products Wastewater
P.O. Box 313
Russell, KS 67665

Kansas Permit No. P-SH31-OO01 Federal Tracking No. KSP000001 Facility Address: 535 S. Front St., Russell, KS 67665

Facility Description: The proposed action is to modify an existing pretreatment permit for this facility. This facility manufactures wire magazine racks and office furniture and utilizes an iron phosphating (conversion coating) operation to prepare the metal for paint using a powder coating process. The proposed permit includes limits for total toxic organics, cadmium, chromium, copper, lead, nickel, silver, zinc, total cyanide and pH, as well as monitoring of effluent flow. The permit limits are pursuant to state and federal pretreatment requirements.

Persons wishing to comment on the draft documents and/or permit applications must submit their comments in writing to the Kansas Department of Health and Environment if they wish to have the comments considered in the decision-making process. Comments should be submitted to the attention of the Livestock Waste Management Section for agricultural-related draft documents or applications, or to the Technical Services Section for all other permits, at the Kansas Department of Health and Environment, Division of Environment, Bureau of Water, 1000 S.W. Jackson, Suite 420, Topeka, 66612-1367.

All comments regarding the draft documents or application notices received on or before July 28 will be considered in the formulation of the final determinations regarding this public notice. Please refer to the appropriate Kansas document number (KS-AG-07-181/184, KS-07-062/064, KS-ND-07-020, KS-PT-07-008) and name of the applicant/permittee when preparing comments.

After review of any comments received during the public notice period, the Secretary of Health and Environment will issue a determination regarding final agency action on each draft document/application. If response to any draft document/application indicates significant public interest, a public hearing may be held in conformance with K.A.R. 28-16-61 (28-46-21 for UIC).

All draft documents/applications and the supporting information including any comments received are on file

and may be inspected at the offices of the Kansas Department of Health and Environment, Bureau of Water. These documents are available upon request at the copying cost assessed by KDHE. Application information and components of plans and specifications for all new and expanding swine facilities are available on the Internet at http://www.kdhe.state.ks.us/feedlots. Division of Environment offices are open from 8 a.m. to 5 p.m. Monday through Friday, excluding holidays.

Roderick L. Bremby Secretary of Health and Environment

Doc. No. 034595

State of Kansas

Department of Health and Environment

Request for Comments

The Kansas Department of Health and Environment is soliciting comments regarding a proposed air quality operating permit. Ade-Wifco Steel Products Inc. has applied for a Class I operating permit in accordance with the provisions of K.A.R. 28-19-510 et seq. The purpose of a Class I permit is to identify the sources and types of regulated air pollutants emitted from the facility; the emission limitations, standards and requirements applicable to each source; and the monitoring, record keeping and reporting requirements applicable to each source as of the effective date of permit issuance.

Ade-Wifco Steel Products Inc., Hutchinson, owns and operates a manufacturing facility of steel components used in oilfield storage tanks located at 8003 Medora Road, Hutchinson.

A copy of the proposed permit, permit application, all supporting documentation and all information relied upon during the permit application review process is available for a 30-day public review during normal business hours at the KDHE, Bureau of Air and Radiation, 1000 S.W. Jackson, Suite 310, Topeka; and a copy of the proposed permit can be reviewed at the KDHE North Central District Office, 2501 Market Place, Suite D, Salina. To obtain or review the proposed permit and supporting documentation, contact Rasha Allen, (785) 296-1693, at the KDHE central office; and to review the proposed permit only, contact Stan Marshall, (785) 827-9639, at the KDHE North Central District Office. The standard departmental cost will be assessed for any copies requested.

Direct written comments or questions regarding the proposed permit to Rasha Allen, KDHE, Bureau of Air and Radiation, 1000 S.W. Jackson, Suite 310, Topeka, 66612-1366. In order to be considered in formulating a final permit decision, written comments must be received before the close of business July 30.

A person may request a public hearing be held on the proposed permit. The request for a public hearing shall be in writing and set forth the basis for the request. The written request must be submitted to Sherry Walker, Bureau of Air and Radiation, not later than the close of business July 30 in order for the Secretary of Health and Environment to consider the request.

The U.S. Environmental Protection Agency has a 45-day review period, which will start concurrently with the 30-day public comment period, within which to object to the proposed permit. If the EPA has not objected in writing to the issuance of the permit within the 45-day review period, any person may petition the administrator of the EPA to review the permit. The 60-day public petition period will directly follow the EPA's 45-day review period. Interested parties may contact KDHE to determine if the EPA's 45-day review period has been waived.

Any such petition shall be based only on objections to the permit that were raised with reasonable specificity during the public comment period provided for in this notice, unless the petitioner demonstrates that it was impracticable to raise such objections within such period, or unless the grounds for such objection arose after such period. Contact Jon Knodel, U.S. EPA, Region VII, Air Permitting and Compliance Branch, 901 N. 5th St., Kansas City, KS 66101, (913) 551-7622, to determine when the 45-day EPA review period ends and the 60-day petition period commences.

Roderick L. Bremby Secretary of Health and Environment

Doc. No. 034586

State of Kansas

Department of Health and Environment

Request for Comments

The Kansas Department of Health and Environment is soliciting comments regarding a proposed air quality construction permit. Coffeyville Resources Nitrogen Fertilizers, LLC has applied for an air quality construction permit in accordance with the provisions of K.A.R. 28-19-300 for the as-built Coffeyville Nitrogen Fertilizer Facility located in Coffeyville. Emissions of particulate matter with an aerodynamic diameter less than or equal to 10 micrometers (PM_{10}), volatile organic compounds (VOC), nitrogen oxides (NOx), sulfur dioxide (SO₂), carbon monoxide (CO), and total reduced sulfur (TRS) were evaluated during the permit review process.

The proposed permit is to be issued in accordance with the provisions of K.A.R. 28-19-350, prevention of significant deterioration (PSD), which adopt the federal standards, procedures and requirements of 40 CFR 52.21 by reference. These air quality regulations apply to major stationary emission sources located in areas designated as "attainment" under the federal Clean Air Act (CAA). Attainment areas are areas where the air quality meets or exceeds the national ambient air quality standards (NAAQS).

The PSD regulations require evaluation of emission reduction techniques to identify the best available control technology (BACT) for each pollutant for which the emission rate exceeds the PSD significant level. The purpose of BACT is to affect the maximum degree of reduction achievable, taking into account energy, environmental and economic impacts for each pollutant under review. Evaluation of the estimated emissions for the fertilizer

facility indicates that the emission rate of NOx, SO₂, CO, PM₁₀, VOC and TRS all exceed the significant levels. CRNF conducted the required BACT analyses. The department has reviewed CRNF's BACT analyses and concurs with its findings that the fertilizer facility is equipped with BACT for these pollutants.

An ambient impact analysis was performed on the air emissions of PM_{10} , NOx, SO_2 and CO from the fertilizer facility. The analysis demonstrated no significant impact on ambient air quality for CO. A more detailed analysis for SO_2 Nox and PM_{10} indicated that the emissions would not contribute to any violation of ambient air standards or Class II increments for SO_2 , Nox and PM_{10} .

An analysis of visibility for the Hercules Glade Wilderness Area, located in Southern Missouri, showed no visibility impact for the closest federal Class I area. No adverse impacts on soil and vegetation in the Coffeyville area are expected. Any federal land manager who has reason to believe they may have a Class I area adversely impacted by the emissions from the fertilizer facility has the opportunity to present KDHE with a demonstration of the adverse impact on the air quality-related values of the federal Class I area during the comment period.

A public comment period has been established until July 30 to allow citizens the opportunity to express any concerns they may have about this proposed permitting action. All comments should be submitted in writing to Rasha Allen, KDHE, Bureau of Air and Radiation, 1000 S.W. Jackson, Suite 310, Topeka, 66612-1366. Comments also may be presented at the public hearing.

Any member of the public may request to hold a public hearing to receive comments on the proposed issuance of the draft air quality construction permit. Written requests to hold a public hearing should be sent to the attention of Sherry Walker at the address listed above or by fax to (785) 291-3953 and must be received by noon July 30. If a request is received, a public hearing is tentatively scheduled at 7 p.m. August 1 at the City Hall, Commission Room, second floor, 102 W. 7th, Coffeyville. If no requests to hold the public hearing are received by this date and time, the public hearing will be cancelled.

A copy of the proposed permit, permit application, all supporting documentation and all information relied upon during the permit application review process is available for public review for a period of 30 days from the date of publication during normal business hours, 8 a.m. to 5 p.m., at the KDHE, Bureau of Air and Radiation, 1000 S.W. Jackson, Suite 310, Topeka; and a copy of the proposed permit can be reviewed at the KDHE Southeast District Office, 1500 W. 7th, Chanute. To obtain or review the proposed permit and supporting documentation, contact Rasha Allen, (785) 296-1693, at the KDHE central office; and to review the proposed permit only, contact the air quality district representative, (620) 431-2390, at the KDHE Southeast District Office. The standard departmental cost will be assessed for any copies requested.

Roderick L. Bremby Secretary of Health and Environment

Department of Labor

Notice of Maximum and Minimum Weekly Benefit Amounts

The maximum weekly benefit amount and minimum weekly benefit amount payable with respect to new claims filed on or after July 1, 2007, and before July 1, 2008, are respectively \$407 and \$101. I hereby certify these maximum and minimum weekly benefit amounts have been computed in accordance with K.S.A. 44-704 of the Kansas Employment Security Law, pursuant to which this announcement is published.

Jim Garner Secretary of Labor

Doc. No. 034587

State of Kansas

University of Kansas

Notice to Bidders

The University of Kansas encourages interested vendors to visit the University of Kansas Purchasing Services Web sight at http://www.purchasing.ku.edu/ for a complete list of all goods and services currently out for bid. For persons without Internet access, paper postings of all open bids may be reviewed at the Purchasing Services office, 1246 W. Campus Road, Room 7, Lawrence. Copies of current bids may be requested by contacting the Purchasing Services office at (785) 864-3790, by fax at (785) 864-3454, or by e-mail at purchasing@ku.edu.

Barry K. Swanson Associate Comptroller/ Director of Purchasing Services

Doc. No. 034560

(Published in the Kansas Register June 28, 2007.)

Summary Notice of Bond Sale City of Wichita, Kansas \$27,090,000* Aggregate Principal Amount General Obligation Bonds Series 790, 790A, 959 and 960

(General obligation bonds payable from unlimited ad valorem taxes)

Bids

Subject to the official notice of sale dated June 19, 2007, bids will be received by the director of finance on behalf of the city of Wichita, Kansas, at the office of the Department of Finance, 12th Floor, City Hall, 455 N. Main, Wichita, KS 67202-1697, by electronic bids, via PARITY electronic bid submission system, until 10 a.m Tuesday, July 10, 2007, for the purchase of all of the city's \$12,740,000* principal amount of General Obligation Bonds, Series 790; \$2,575,000* principal amount of General Obligation Bonds, Series 790A; \$4,390,000* principal amount of General Obligation Bonds, Series 959 (Taxable Under Federal Law); and \$7,385,000* principal amount of General Obligation Bonds, Series 960. The Series 790, Series 790A,

Series 959 and Series 960 Bonds are herein collectively referred to as the bonds. All bids shall be publicly read and tabulated on said date and at said time and place. The bids will be considered and each series of bonds will be awarded by the City Council in the Council Chamber at City Hall at its earliest convenience following the bid opening.

No oral or auction bid for the bonds shall be considered, and no bid of less than the entire principal amount of each series of bonds, plus accrued interest to the date of delivery, will be considered.

Bids may be electronically submitted through PARITY. Each bidder shall be solely responsible for making the necessary arrangements to access PARITY for the purpose of submitting its electronic bid in a timely manner and in compliance with the notice of sale. To the extent any instructions or directions set forth in PARITY conflict with the notice of sale, the terms of the notice of sale shall control. The city shall not be responsible for any failure, misdirection or error in the transmission of a bid through PARITY. For further information about the electronic bidding services of PARITY, potential bidders may contact i-Deal, LLC, 1359 Broadway, 2nd Floor, New York, NY 10010, (212) 849-5021. Bidders may be required to be qualified in a manner established by the city before submitting a bid.

Bond Details

The bonds will be in book-entry form only. The bonds will be issued in the denomination of \$5,000 or any integral multiple thereof; will be dated August 1, 2007 (the dated date); and will become due in the years as follows:

•		-
Maturity Schedule—Series	s 790) Bonds
Maturing	P	rincipal
September 1	Α	mount
2008	\$	630,000
2009		660,000
2010		685,000
2011		715,000
2012		740,000
2013		770,000
2014		805,000
2015		840,000
2016		870,000
2017		910,000
2018		945,000
2019		980,000
2020	1,	,020,000
2021	1,	,065,000
2022	1.	,105,000

Maturity Schedule—Series 790A Bonds	
Maturing	Principal
September 1	Amount*
2008	\$ 85,000
2009	90,000
2010	90,000
2011	95,000
2012	100,000
2013	105,000
2014	110,000
2015	115,000

2016	120,000
	,
2017	125,000
2018	130,000
2019	135,000
2020	140,000
2021	145,000
2022	150,000
2023	155,000
2024	160,000
2025	170,000
2026	175,000
2027	180,000

Maturity Schedule—Series 959 Bonds

Maturing September 1	Principal Amount*
2008	\$205,000
2009	215,000
2010	225,000
2011	235,000
2012	245,000
2013	260,000
2014	275,000
2015	290,000
2016	300,000
2017	315,000
2018	330,000
2019	345,000
2020	365,000
2021	385,000
2022	400,000

Maturity Schedule—Series 960 Bonds

Wintuilly Schedule	Scries 700 Bollas
Maturing September 1	Principal Amount
2008	\$365,000
2009	380,000
2010	400,000
2011	410,000
2012	430,000
2013	450,000
2014	465,000
2015	485,000
2016	505,000
2017	525,000
2018	550,000
2019	570,000
2020	590,000
2021	620,000
2022	640,000

The bonds will bear interest from the dated date at rates to be determined when the bonds are sold as provided in the notice of sale. Interest on the bonds will be payable semiannually on March 1 and September 1 in each year, beginning March 1, 2008.

Principal Amounts Subject to Change

The city reserves the right to decrease the total principal amount of each series of bonds and the principal amount of any maturity in order to properly size a bond issue based on net bond proceeds received by the city as a result of any premium bid. Adjustments, if required,

will be made proportionately to each maturity as permitted by the authorized denominations of such series of bonds. The successful bidder may not withdraw its bid or change the interest rates bid as a result of any changes made to the principal amount of a series of bonds as described. If there is an adjustment in the final aggregate principal amount of a series of bonds or the schedule of principal payments as described above, any premium bid on such series of bonds will be proportionately adjusted. At the request of the city, each successful bidder agrees to resize the applicable bond issue, adjust the premium and provide a revised maturity schedule to the city promptly after receipt of notification of such a request by the city.

Paying Agent and Bond Registrar

Kansas State Treasurer, Topeka, Kansas.

Good Faith Deposit

Each bid for each series of bonds shall be accompanied by a cashier's or certified check drawn on a bank located in the United States, or a financial surety bond in a form that complies with the requirements set forth in the notice of sale, in an amount equal to 2 percent of the principal amount of the series of bonds for which the bid is submitted.

Delivery

The city will pay for preparation of the bonds and will deliver the same properly prepared, executed and registered without cost to the successful bidder(s) on or about August 9, 2007, at the offices of the Depository Trust Company, New York, New York.

Assessed Valuation and Indebtedness

The equalized assessed tangible valuation for computation of bonded debt limitations is \$3,213,622,569. The total general obligation indebtedness of the city as of the date of the bonds, including the bonds being sold and including temporary notes (the notes) being sold by the city on the same date, is \$513,348,141. The city's General Obligation Renewal and Improvement Temporary Notes, Series 218, outstanding in the principal amount of \$57,703,000, and General Obligation Renewal Temporary Notes, Series 219 (Taxable Under Federal Law), outstanding in the principal amount of \$4,390,000, will be retired on August 9, 2007, from a portion of the proceeds of the bonds, a portion of the proceeds of the notes and other available funds of the city.

Approval of Bonds

The bonds will be sold subject to the legal opinion of Kutak Rock LLP, Kansas City, Missouri, bond counsel, whose approving legal opinion as to the validity of each series of bonds will be furnished and paid for by the city and delivered to the successful bidder(s) when the bonds are delivered.

Additional Information

Additional information regarding the bonds may be obtained from the city's Department of Finance, City Hall, 12th Floor, 455 N. Main, Wichita, KS 67202-1679 (Catherine Gilley, Debt Coordinator), (316) 268-4143; or from bond counsel, Kutak Rock LLP, 1010 Grand Blvd.,

Suite 500, Kansas City, MO 64106-2220, (816) 960-0090, Attention: Dorothea Riley.

Dated June 28, 2007.

City of Wichita, Kansas Karen Sublett, City Clerk City Hall, 13th Floor 455 N. Main Wichita, KS 67202-1679 (316) 268-4529

* Subject to change. Doc. No. 034594

State of Kansas

Board of Regents

Temporary Administrative Regulations

Article 30.—STUDENT HEALTH INSURANCE PROGRAM

- **88-30-1. Definitions.** The following terms wherever used in this article shall have the meanings specified in this regulation:
- (a) "Dependent" means a student's unmarried child under the age of 19 who is not self-supporting.
- (b) "Employer contribution" means the amount paid by a state educational institution for the coverage of a student employee that equals 75% of the cost of studentonly coverage.
 - (c) "State board" means the state board of regents.
- (d) "State educational institution" has the meaning specified in K.S.A. 76-711, and amendments thereto, except that for the purposes of this article, the university of Kansas medical center shall be considered a state educational institution separate from the university of Kansas, Lawrence, and its campuses.
- (e)(1) "Student" means any individual who meets each of the following conditions:
 - (A) Is enrolled at a state educational institution;
- (B) is not eligible for coverage under K.A.R. 108-1-1; and
 - (C) meets one of the following conditions:
- (i) Is a degree-seeking undergraduate student who is enrolled in at least six hours in the fall or spring semesters or at least three hours in the summer semester or is participating in an internship approved or sponsored by the state educational institution;
 - (ii) is enrolled in a psychology internship program;
- (iii) is a master's degree student who is enrolled in at least three hours each semester;
 - (iv) is an individual holding a nonimmigrant visa;
 - (v) is an exchange visitor holding a J-1 visa;
- (vi) is an individual holding a nonimmigrant visa and engaged in optional practical training or academic training;
 - (vii) is a doctoral student; or
 - (viii) has been appointed as a postdoctoral fellow.
- (2) "Student" shall not include any individual who is enrolled exclusively in any of the following:
 - (A) One or more semester-based internet courses;

- (B) one or more semester-based television courses;
- (C) one or more home study courses; or
- (D) one or more correspondence courses.
- (f) "Student employee" means a student who meets one of the following conditions:
- (1) Is appointed for the current semester to a graduate teaching assistant or graduate research assistant position that is at least a 50% appointment; or
- (2) holds concurrent appointments to more than one graduate teaching or graduate research position that total at least a 50% appointment.
- (g) "Student health insurance program" means the health and accident insurance coverage or health care services of a health maintenance organization for which the state board has contracted pursuant to K.S.A. 75-4101, and amendments thereto. (Authorized by and implementing K.S.A. 2006 Supp. 75-4101; effective, T-88-6-14-07, June 14, 2007.)
- **88-30-2.** Election of coverage. Any student may elect coverage under the student health insurance program for any of the following sets of people:
 - (a) The student;
 - (b) the student and the student's spouse;
 - (c) the student and the student's dependents; or
- (d) the student, the student's spouse, and the student's dependents. (Authorized by and implementing K.S.A. 2006 Supp 75-4101; effective, T-88-6-14-07, June 14, 2007.)
- **88-30-3.** Payment of premiums. Each student who elects coverage under the student health insurance program as described in K.A.R. 88-30-2 shall pay the costs of the coverage as follows:
- (a) Each student who is not a student employee shall pay the full cost of the elected coverage.
- (b) Each student employee's cost of elected coverage shall be reduced by the employer's contribution. (Authorized by and implementing K.S.A. 2006 Supp. 75-4101; effective, T-88-6-14-07, June 14, 2007.)

Reginald L. Robinson President and CEO

Doc. No. 034598

State of Kansas

Department of Corrections

Permanent Administrative Regulations

Article 12.—CONDUCT AND PENALTIES

- **44-12-103.** Tattoos, body piercing, and body markings. (a) Inmates shall not place on or remove from, or allow to be placed on or removed from their body any tattoo or body marking, nor shall they place on or remove from the body of another inmate any tattoo or body marking. Removal or alteration of tattoos or body markings shall be performed by a medical officer after written approval has been given by the warden.
- (b) Inmates shall not pierce their own bodies or the body of another inmate. Inmates shall not allow their bodies to be pierced by another inmate. Any cosmetic piercing of an inmate's body shall be performed by a phy-

- sician, dentist, or other medical personnel exempted from licensure requirements according to K.S.A. 65-1941 and amendments thereto, after written approval has been given by the warden. Cosmetic piercing shall be permitted only upon a showing of medical necessity certified by a physician or dentist.
- (c) Inmates shall not maintain an existing body piercing hole or opening.
- (d) Violation of this regulation shall be a class II offense. (Authorized by and implementing K.S.A. 2005 Supp. 75-5210; effective May 1, 1980; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-105.** Unsanitary practices. (a) No inmate shall throw trash of any kind upon the floors, sidewalks, or grounds of any facility. All rubbish shall be placed in the containers provided for that purpose. No inmate shall spit upon the floors, sidewalks, and grounds or within any facility building. Violation of this subsection shall be a class III offense.
- (b) No inmate shall collect, smear, or throw body wastes. No inmate shall urinate or defecate upon the floors, sidewalks, or grounds of any facility. Violation of this subsection shall be a class I offense.
- (c) Alternatively, violation of this regulation may be handled according to the summary disposition procedure set forth in K.A.R. 44-13-201b. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-106.** Hair standards and appearance. (a) Each inmate shall keep the inmate's hair neat and clean and follow reasonable health and safety standards. When working in food services, each inmate shall wear a cook's hat, or net, or both for sanitary purposes. Inmates working in food services shall not have facial hair in excess of one inch in length or shall wear beard nets, and shall keep this hair neat and clean.
- (b) Violation of this regulation shall be a class III offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1987; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-107.** Use of safety devices. Each inmate shall use safety devices provided in accordance with the orders of the warden. Violation of this regulation shall be a class II offense. Alternatively, violation of this regulation may be handled according to the summary disposition procedure set forth in K.A.R. 44-13-201b. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-208. Misuse of state property.** No inmate shall destroy, damage, deface, alter, misuse, or fail to return when due any article of property owned by the state, whether issued by the department of corrections or another state agency, including clothing and shoes. Normal wear and tear to clothing and shoes shall be excepted from this regulation. Violation of this regulation shall be a class II offense. (Authorized by and implementing K.S.A. 2005 Supp. 75-5210; effective May 1, 1980; amended April 20, 1992; amended July 13, 2007.)

- **44-12-210.** Accounts. No inmate shall establish or have access to any checking or savings account outside the trust fund while confined in a correctional facility. Violation of this regulation shall be a class II offense. (Authorized by K.S.A. 2006 Supp. 75-5210; implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1980; amended May 1, 1981; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-211. Telephones and other communication devices.** (a) When using any authorized inmate telephone, no inmate shall perform or engage in any of the following:
- (1) Use another inmate's personalized identification number (PIN) or permit another inmate to use the inmate's PIN;
 - (2) be a party to call forwarding;
- (3) call any telephone number not listed on the inmate's authorized calling list;
- (4) participate in any call involving a party at a phone number other than that originally called, including receiving information relayed by an intermediary, and either relaying or receiving information over any telephone service other than that authorized by the secretary of corrections for inmate usage;
- (5) initiate any call to a party on the inmate's authorized calling list and then permit the telephone to be used by another inmate, whether in speaking to the authorized party or to another party; or
- (6) use the telephone in furtherance of any illegal activity.
- (b) Except as specified in subsection (a), the use or possession of any telephone or any communication device by an inmate without the permission of the warden or warden's designee shall be prohibited.
- (c) Violation of this regulation shall be a class I offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective July 13, 2007.)
- **44-12-212.** Accessing unauthorized computer-based information. No inmate shall access, or attempt to access, any information, data, images, or other material residing on or stored in any computer or available through any computer network, unless the information, data, images, or other material has been authorized for inmate access by the secretary of corrections and established and maintained by the information technology division of the department of corrections for that purpose.

Violation of this regulation shall be a class I offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective July 13, 2007.)

- **44-12-304. Disobeying orders.** (a) Each inmate shall promptly and respectfully obey any order, directive, or instruction given to the inmate by any employee of the facility, or by an employee of any other agency or of an organization or firm in charge of the inmate. In case of conflicting orders, the last order shall be obeyed.
- (b) If an order is violated, the specific circumstances surrounding the violation charge shall be included in the following:
 - (1) The disciplinary report bringing the charge;

- (2) the investigation report, if any; and
- (3) if used, the report writer's written statement in lieu of testimony.
- (c) Violation of this regulation shall be a class I offense. (Authorized by and implementing K.S.A. 2005 Supp. 75-5210; effective May 1, 1980; amended, T-83-23, Aug. 11, 1982; amended, T-84-1, Jan. 5, 1983; amended May 1, 1984; amended May 1, 1987; amended July 13, 2007.)

44-12-306. Threatening or intimidating any person. (a) An inmate shall not threaten or intimidate, either directly or indirectly, any person or organization.

This regulation shall specifically prohibit conditional threats or intimidation. Violation of this subsection shall be a class I offense.

- (b) A civilized warning by the inmate that the inmate may properly use legal process to enforce rights or redress wrongs, including use of the inmate grievance procedure, shall not be considered a violation of this regulation.
- (c) The subjective impression of the target of the alleged threat or intimidation shall not be a factor in proving a violation of subsection (a). (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1984; amended May 1, 1986; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-308.** Improper use of prepared or served food. No inmate shall accept more prepared or served food or drink than the inmate will consume. No inmate shall wastefully and deliberately destroy prepared or served food. Inmates shall not carry any prepared or served food or drink from the dining area, except as allowed under the facility orders. Violation of this regulation shall be a class III offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended April 20, 1992; amended July 13, 2007.)
- **44-12-312.** Use of stimulants, sedatives, unauthorized drugs, or narcotics, or the misuse or hoarding of authorized or prescribed medication. (a) No inmate shall take into the bodily system any kind of substance that is capable of producing intoxication, hallucination, stimulation, depression, dizziness, or other alteration of the inmate's state of consciousness or feeling, except approved foods, including coffee and tea, and legal drugs, including medication properly and legally prescribed or authorized for a specific inmate by an authorized licensed physician. Alcohol in any form shall be specifically declared not to be an approved food or drink unless it is a component of authorized or prescribed medication.
- (b) Misusing, hoarding, tampering with, or defacing any authorized or prescribed medication shall be prohibited.
- (1) "Misusing" medication shall mean using any medication for a purpose other than that for which the medication was specifically authorized or prescribed. This shall include either of the following:
- (A) Keeping the medication beyond the stop date, as designated by the health care provider; or
- (B) dealing and trading prescribed medications within the meaning of K.A.R. 44-12-205.
- (2) "Hoarding" medication shall mean having possession or control of or holding any quantity of authorized

- or prescribed medication greater than an amount or dosage that has been issued to the inmate by medical staff, or greater than the amount that should be remaining if the inmate has taken the medication in accordance with the prescription and instructions from medical staff. Approved over-the-counter medications shall be purchased and possessed only in reasonably consumable quantities.
- (3) "Tampering with or defacing" shall mean altering or disfiguring the original packaging of a medication, or removing the medication from the original packaging to any other bottle or container.
- (c) No inmate shall leave the infirmary or any area where medication is issued while in possession or control of any medication unless removal of the medication from this area has been authorized by medical staff.
- (d) Each of the following by an inmate shall create a presumption that the inmate has used a substance prohibited for consumption by this regulation and shall constitute a violation of this regulation:
- (1) Refusal to provide a urine sample or other sample of bodily fluid or tissue pursuant to an authorized alternate substance abuse testing method;
- (2) failure to provide a urine sample or other sample of bodily fluid or tissue of sufficient quantity; or
- (3) failure to provide any sample of urine, bodily fluid, or tissue within two and one-half hours. A bona fide medical or psychological condition verified by a duly licensed practitioner that prevents or hampers the provision of any sample within a period of two and one-half hours shall constitute a defense to this charge.
- (e) Violation of this regulation shall be a class I offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-315. Lewd acts.** (a) No inmate shall engage in a lewd or lascivious manner in any act of kissing, fondling, touching, or embracing, whether with a person of the same or opposite sex.
- (b) An inmate shall not intentionally expose or manipulate a sex organ with the knowledge or reasonable anticipation that the inmate will be viewed by others or with the intent to arouse or gratify the sexual desires of the inmate or another. A violation of this regulation shall be a class I offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended April 20, 1992; amended July 13, 2007.)
- **44-12-320a.** Interfering with official duties. No inmate shall intentionally disrupt, sabotage, impede, or interfere with the performance of official duties by any officer, employee, or contract employee. Violation of this regulation shall be a class I offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective July 13, 2007.)
- **44-12-325.** Security threat groups; inmate activity; limitations. (a) No proselytizing of religious faiths or beliefs shall be allowed in the facilities. "Proselytizing" shall be defined as an active effort to persuade a person to convert to a religious belief without the person's prior consent. However, nothing in this regulation shall prohibit one-to-one conversation about religious matters. Violation of this subsection shall be a class III offense.

- (b) Inmates shall not serve in the capacity of clergy or religious instructors at any time except for purposes of K.A.R. 44-7-113, on recommendation of chaplain and the approval of the warden. Violation of this subsection shall be a class III offense.
- (c) Inmates shall not develop, organize, promote, or assist any security threat group and shall not engage in any activity calculated to incite a demonstration by any security threat group. Inmates shall not possess any item, whether in its original condition or in an altered state, associated or identified with any security threat group. "Security threat group" shall mean any ongoing formal or informal organization, association, or group of three or more persons with a common name or identifying sign or symbol, but without specific approval by the warden. Violation of this subsection shall be a class I offense. (Authorized by and implementing K.S.A. 2005 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)

44-12-601. Mail. (a) Definitions.

- (1) (A) "Legal mail" means mail affecting the inmate's right of access to the courts or legal counsel. This term shall be limited to letters between the inmate and any lawyer, a judge, a clerk of a court, or any intern or employee of a lawyer or law firm, legal clinic, or legal services organization, including legal services for prisoners.
- (B) "Official mail" means any mail between an inmate and an official of the state or federal government who has authority to control, or to obtain or conduct an investigation of, the custody or conditions of confinement of the inmate.
- (C) "Privileged mail" means any mail between the inmate and the inmate's physician, psychiatrist, psychologist, or other licensed mental health therapist.
- (2) (A) "Censor" means to remove or change any part or all of the correspondence or literature.
- (B) "Inspect" means to open, shake out, look through, feel, or otherwise check for contraband without reading or censoring. This term shall include any cursory reading necessary to verify that mail is legal or official in nature as permitted by paragraph (f)(3).
- (C) "Read" means to read the contents of correspondence or literature to ascertain the content.
 - (b) General provisions.
- (1) Each inmate shall comply with the mail procedures and restrictions established by the order of the warden of the facility. Failure to comply with mail procedures or restrictions, or circumventing or attempting to circumvent mail procedures or restrictions by any means, shall be prohibited. The delivery of mail through an employee, volunteer, teacher, or any other person who is not authorized to perform functions related to the established mail-handling system shall be prohibited.
- (2) Contraband. Items identified as contraband shall be dealt with as provided in subsection (d) and then either returned to the sender at the inmate's expense or destroyed, at the inmate's option. Items illegal under Kansas or U.S. federal law shall be seized and held as evidence for other law enforcement officers.
- (3) All incoming mail shall identify the inmate recipient by name and inmate identification number.

- (4) Violation of mail regulations of the department of corrections, orders of the warden, or the laws of Kansas or the United States may result in additional mail restrictions upon the offender that are sufficient to prevent the continuation or reoccurrence of the violation.
- (5) All funds sent for deposit to an inmate's trust account shall be in the form of a money order, a cashier's check, or a certified check. These funds shall be sent to the centralized banking location or individual work release location designated by the secretary. Except for correspondence qualifying as legal mail in which funds are enclosed in an envelope clearly marked as such, correspondence or other material sent with funds shall not be forwarded and shall be discarded.
- (6) Any incoming or outgoing mail other than legal, official, or privileged mail may be inspected or read at any time.
- (7) Incoming mail addressed solely to a specific inmate and not otherwise subject to censorship shall be delivered regardless of whether the mail is sent free of charge or at a reduced rate. All incoming mail shall nonetheless bear the sender's name and address on the envelope, or this mail shall not be delivered and shall be subject to censorship in accordance with subsection (d).
- (8) Any outgoing first-class letters may be sent to as many people and to whomever the inmate chooses, subject to the restrictions in this regulation.
- (9) Outgoing inmate mail shall bear the full conviction name, inmate number, and address of the sender, and the name and address of the intended recipient. No other words, drawings, or messages shall be placed on the outside of the envelope or package by an inmate except words describing the mail as being legal, official, privileged, or intended to aid postal officials in delivery of the item. Outgoing inmate mail shall be stamped by the facility to indicate that it was mailed from a facility operated by the department of corrections and that it has not been censored.
- (10) Inmates shall not correspond with any person, either directly or through third parties, who has filed a written objection to the correspondence with the director of victim services in the department of corrections central office. The director of victim services in the department of corrections central office shall notify the warden of the facility where the offender is incarcerated of any written objections to correspondence sent by the offender within three business days of receipt of the objection.
- (A) The inmate shall be notified of the objection in writing when it is received, but shall not be required to be informed of the exact contents of the objection.
- (B) In the instance of unwanted correspondence to a minor, the objection shall be filed by the parent or guardian of the minor.
- (C) Orders shall be developed by the warden of each facility to prevent further correspondence from being sent to those who have filed an objection.
- (D) This regulation shall not prevent an inmate from writing to the inmate's natural or adoptive child, unless the child was the victim of the crime for which the inmate is incarcerated and the person having legal custody of the child files a written objection with the director of victim

services in the department of corrections central office, and the inmate has not obtained a court order permitting this written communication with the child. The director of victim services in the department of corrections shall inform the warden of the facility where the inmate is assigned of any objection from the person having legal custody of the child within three business days of its receipt.

- (c) Legal, official, and privileged mail.
- (1) Subject to the provisions of paragraph (f)(3), outgoing privileged, official, or legal mail sent by any inmate shall be opened and read only upon authorization of the warden for good cause shown. However, if any inmate threatens or terrorizes any person through this mail, any subsequent mail, including official or legal mail, from the inmate to the person threatened or terrorized may, at the request of that person, be read and censored for a time period and to the extent necessary to remedy the abuse.
- (2) Incoming mail clearly identified as legal, official, or privileged mail shall be opened only in the inmate's presence. This mail shall be inspected for contraband but shall not be read or censored, unless authorized by the warden based upon a documented previous abuse of the right or other good cause.
- (3) All legal mail and official mail will be indefinitely forwarded to the inmate's last known address. If any mail is returned to a facility as undeliverable when sent to the inmate's last known address, the mail shall be returned to the sender with a notice that the mail was forwarded unsuccessfully and is now returned to the sender for further disposition.
 - (d) Censorship grounds and procedures.
- (1) Incoming or outgoing mail, other than legal, official, or privileged mail, may be censored only when there is reasonable belief in any of the following:
- (A) There is a threat to institutional safety, order, or security.
- (B) There is a threat to the safety and security of public officials or the general public.
- (C) The mail is being used in furtherance of illegal activities.
- (D) The mail is correspondence between offenders, including any former inmate regardless of current custodial status, that has not been authorized according to subsection (e). Correspondence between offenders may be inspected or read at any time.
- (E) The mail contains sexually explicit material, as defined and proscribed by K.A.R. 44-12-313.
- (2) If any communication to or from an inmate is censored, all of the following requirements shall be met:
- (A) Each inmate shall be given a written notice of the censorship and the reason for the censorship, without disclosing the censored material.
- (B) Each inmate shall be given the name and address of the sender of incoming mail, if known, or the addressee of outgoing mail and the date the item was received in the mail room. Notice of the censorship of correspondence by the facility shall be provided to the sender, if known, by staff in the facility's mail room within three business days of the decision to censor.
- (C) The author or addressee of the censored correspondence shall have 15 business days from the date of the notice of censorship to protest that decision.

- (D) All protests shall be forwarded to the secretary of corrections or the secretary's designee for final review and disposition.
- (E) Each inmate shall have the option of having censored correspondence or other materials in their entirety either mailed out at the expense of the inmate or discarded.
- (e) Offender correspondence with other offenders. Offenders sentenced to the custody of the Kansas department of corrections shall not correspond with any person who is in the custody of or under the supervision of any state, federal, county, community corrections, or municipal law enforcement agency, or with any former inmate regardless of current custodial status, unless either of these conditions is met:
- (1) The proposed correspondents are members of the same immediate family or are parties in the same legal action, or one of the persons is a party and the other person is a witness in the same legal action.
- (2) Permission for correspondence is granted due to exceptional circumstances. Verification and approval of offender correspondence shall be conducted pursuant to the internal policies and procedures of the department of corrections.
 - (f) Writing supplies and postage.
- (1) Stationery and stamps shall be available for purchase from the inmate canteen.
- (2) Indigent inmates, as defined by the internal management policies and procedures of the department of corrections, shall receive reasonable amounts of free writing paper, envelopes, and postage for first-class domestic mail weighing one ounce or less, not to exceed four letters per month.
- (3) All postage for legal and official mail shall be paid by the inmate, unless the inmate is indigent, as defined by the internal management policies and procedures of the department of corrections. The cost of postage for legal or official mail paid by the facility on behalf of an indigent inmate shall be deducted from the inmate's funds, if available. Credit for postage for legal and official mail shall be extended to indigent inmates under the terms and conditions of the internal management policies and procedures of the department of corrections. Outgoing legal or official mail sent with postage provided on credit shall be subject to inspection and a cursory reading in the presence of the inmate for the purpose of ascertaining that the mail is indeed legal or official mail, and the inmate shall then be permitted to seal the envelope containing the mail.
- (4) The facility shall not pay postage for inmate groups or organizations.
- (5) The mailing of postage stamps by an offender shall be prohibited.
 - (g) Publications.
- (1) Inmates may receive books, newspapers, and periodicals as permitted by the internal management policies and procedures of the department of corrections. All books, newspapers, and periodicals shall be purchased through account withdrawal requests. Only books, newspapers, and periodicals received directly from a publisher or a vendor shall be accepted. However, an inmate shall be permitted to receive printed material, including news-

paper and magazine clippings, if the material is included as part of a first-class letter that does not exceed one ounce in total weight.

- (2) The procedures for censorship of mail listed in subsection (d) of this regulation shall be used for censorship of publications.
- (3) No publication that meets either of the following conditions shall be allowed into the facility:
- (A) Contains sexually explicit material, as described in K.A.R. 44-12-313, or is otherwise illegal, in whole or in part; or
- (B) meets, in whole or in part, the test for censorship of mail in subsection (d) of this regulation.
- (4) Inmates shall have the option of having censored publications in their entirety either mailed out of the facility at their own expense or discarded.
- (5) Before transferring between facilities, the inmate shall arrange for a change of address for the inmate's mail, including newspapers and periodicals. Mail, with the exception of legal mail or official mail, shall not be forwarded for more than 30 days after the date of transfer.
- (h) Regulation violation. Each violation of this regulation shall be a class II offense. (Authorized by K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251, and K.S.A. 75-5256; effective May 1, 1980; amended May 1, 1981; amended May 1, 1984; amended May 1, 1986; amended May 1, 1988; amended April 20, 1992; amended Jan. 3, 1995; amended April 17, 1998; amended Feb. 15, 2002; amended, T-44-3-19-04, March 19, 2004; amended July 2, 2004; amended July 13, 2007.)

44-12-901. Dangerous contraband. (a) Dangerous contraband shall be defined as any of the following:

- (1) Any item, or any ingredient or part of or instructions on the creation of an item, that is inherently capable of causing damage or injury to persons or property, or is capable or likely to produce or precipitate dangerous situations or conflict, and that is not issued by the department of corrections or the facilities, sold through the canteen, or specifically authorized or permitted by order of the secretary of corrections or warden for use or possession in designated areas of the facility;
- (2) any item that can be the basis for a charge of felony for its possession under the laws of Kansas or the United States; or
- (3) any item that, although authorized, is misused if the item in its misused form has the characteristics of being able to cause damage or injury to persons or property or being likely to precipitate dangerous situations or conflicts.
- (b) All contraband shall be confiscated and shall be ordered forfeited by the inmate.
- (c) No inmate shall possess, hold, sell, transfer, receive, control, or distribute any dangerous contraband.

Violation of this regulation shall be a class I offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended April 20, 1992; amended July 13, 2007.)

44-12-902. Contraband. (a) Contraband shall be defined as either of the following:

- (1) Any item, or any ingredient or part of or instructions for the creation of the item, that is not issued by the department of corrections, sold through the facility canteen, or specifically authorized or permitted by order of the secretary of corrections or warden for use or possession in designated areas of the facility; or
- (2) any item that, although authorized, is misused in a way that causes some danger or injury to persons or property.
- (b) All contraband shall be confiscated and shall be ordered forfeited by the inmate.
- (c) No inmate shall possess, hold, sell, transfer, receive, control, or distribute any type of contraband. Violation of this subsection shall be a class II offense.
- (d) No inmate shall possess papers, bottles, containers, trash, or any other items in excess of those limits established by regulation, internal management policies and procedures, and facility general orders. The possession of excess items described in this subsection shall be considered nuisance contraband and shall be a class III offense.
- (e) Alternatively, violation of this regulation may be handled according to the summary disposition procedure set forth in K.A.R. 44-13-201b. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1980; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-903. Tobacco contraband.** (a) For the purposes of this regulation, each of the following terms shall have the meaning specified in this subsection:
- (1) "Tobacco products" means cigarettes, cigars, pipe tobacco, loose-leaf tobacco, chewing tobacco, and smokeless tobacco. This term shall not include pharmacological aids for smoking cessation approved by the food and drug administration.
- (2) "Tobacco substitutes" means any substance ingested by smoking, and any herbal or leaf-based replacements for chewing tobacco. This term shall not include any controlled substance, as defined by K.S.A. 65-4101(e) and amendments thereto.
- (3) "Smoking paraphernalia" means pipes, lighters, matches, altered batteries, cigarette papers, rolling machines, and all other items fabricated, developed, or processed for the primary purpose of facilitating the use or possession of tobacco products or tobacco substitutes.
- (b) No inmate shall possess, hold, sell, transfer, receive, control, or distribute tobacco products, tobacco substitutes, or smoking paraphernalia, except as specified in subsection (d).
- (c) No inmate shall possess, hold, sell, transfer, receive, or control tobacco products, tobacco substitutes, or smoking paraphernalia that is intended to be introduced or distributed upon the grounds of a correctional facility.
- (d) Inmates may engage in bona fide religious activities sanctioned by the warden of the facility involving the use and possession of tobacco products, tobacco substitutes, and smoking paraphernalia as permitted by and in accordance with the terms of internal management policies and procedures of the secretary.
- (e) Violation of this regulation shall be a class I offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective July 13, 2007.)

- **44-12-1101.** Attempt, conspiracy, accessory, solicitation; liability for offenses of another. Each attempt or conspiracy to violate any regulation, or acting as an accessory for any offense, or soliciting another or other persons to commit any offense, shall carry the same penalty as that for the offense itself. The specific regulation that is the basis of the attempt, conspiracy, accessory, or solicitation shall be stated and described in the disciplinary report.
 - (a) Attempt.
- (1) An attempt shall mean any overt, or clearly evident, act toward the perpetration of an offense by an inmate who intends to commit the offense but fails in the perpetration of the offense or is prevented from or intercepted in executing that offense.
- (2) It shall not be a defense to a charge of attempt that the circumstances under which the act was performed, the means employed, or the act itself was such that the commission of the offense was not possible.
 - (b) Conspiracy.
- (1) A conspiracy shall mean an agreement with another person to commit an offense or to assist in committing an offense. No inmate may be convicted of a conspiracy unless an overt act furthering that conspiracy is alleged and proved to have been committed by the inmate or by a coconspirator.
- (2) It shall be a defense to a charge of conspiracy that the accused voluntarily and in good faith withdrew from the conspiracy and communicated the fact of this withdrawal to one or more of the accused conspirators, before any overt act furthering the conspiracy was committed by the accused or by a coconspirator.
- (c) Accessory to an offense. Aiding an offender or one charged with an offense shall mean knowingly harboring, concealing, or aiding any inmate who has committed an offense, or one who has been charged with an offense, with intent that the inmate will avoid or escape from apprehension, disciplinary hearing conviction, or punishment for the offense.
- (d) Solicitation. Solicitation shall mean commanding, encouraging, or requesting another person to commit an offense, attempt to commit an offense, or aid and abet in the commission or attempted commission of an offense for the purpose of promoting or facilitating the offense. It shall not be a defense to a charge of solicitation that the inmate failed to communicate with the person solicited to commit the offense if the inmate's conduct was designed to effect a communication. It shall be a defense to a charge of solicitation that the inmate, after soliciting another person to commit an offense, persuaded that person not to do so or otherwise prevented the commission of the offense, under circumstances manifesting a complete and voluntary renunciation of the inmate's prohibited purposes.
- (e) Liability for the offenses of another. An inmate shall be responsible for an offense committed by another if the inmate intentionally aids, abets, advises, hires, counsels, or procures the other to commit the offense. The specific underlying regulation violation committed by the other inmate that is the subject of the activity of aiding, abetting, advising, hiring, counseling, or procuring shall be stated and described in the disciplinary report. (Author-

- ized by and implementing K.S.A. 2006 Supp. 75-5210; effective, E-79-37, Jan. 1, 1979; effective May 1, 1980; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended April 20, 1992; amended July 13, 2007.)
- **44-12-1201.** Increased penalty for involving or victimizing an inmate under 18. (a) If any inmate who is 18 years of age or older involves, induces, or solicits an inmate who is less than 18 to commit an offense, or if the victim of an offense committed by the older inmate is an inmate who is less than 18, the older inmate may be subject to a penalty that is double the penalty established for the offense under these regulations. One of the following findings shall be necessary to invoke this increased penalty:
- (1) The older inmate is guilty of the same offense as that committed by the younger inmate.
- (2) The older inmate is guilty of a violation of K.A.R. 44-12-1101 with respect to that offense.
- (3) The older inmate is guilty of an offense involving the victimization of the younger inmate.
- (b) The limitations of K.A.R. 44-12-1308 regarding sentences of disciplinary segregation shall be construed, within the context of K.A.R. 44-12-1201, to mean that the total length of a sentence of disciplinary segregation for all charges arising from a single incident shall not exceed 120 days. (Authorized by and implementing K.S.A. 2005 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended May 1, 1984; amended April 20, 1992; amended Jan. 3, 1995; amended July 13, 2007.)
- **44-12-1301.** Class I offenses. (a) Class I offenses shall be any of the following:
- (1) Those violations of a very serious nature that are designated in this article as class I offenses, whether or not the offense is also a violation of law;
- (2) those violations of law designated by the laws of the state of Kansas as felonies; or
- (3) those violations of law designated by the laws of the United States as felonies.
- (b) The penalty for a class I offense may be any one or all, or any combination of the following, unless prohibited in this subsection:
 - (1) Disciplinary segregation, not to exceed 45 days;
- (2) loss of "good time credits," not to exceed six months;
- (3) extra work without incentive pay for not more than two hours each day, not to exceed 30 days;
- (4) work without incentive pay, not to exceed five days. This penalty shall not include a fine and shall apply only to ordinary inmate work assignments;
- (5) restriction to inmate's own cell, not to exceed 10 days;
 - (6) restriction from privileges, not to exceed 60 days;
- (7) a fine of not more than \$20.00, unless prohibited by paragraph (b)(4);
 - (8) restitution of at least \$3.00; or
- (9) an oral or written reprimand. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1,

1984; amended May 1, 1985; amended April 20, 1992; amended July 13, 2007.)

- **44-12-1302.** Class II offenses. (a) Class II offenses shall be any of the following:
- (1) Those offenses of moderate seriousness that are designated in this article as class II offenses, whether or not the offenses are also violations of the law;
- (2) those violations of law designated by the laws of the state of Kansas as misdemeanors; or
- (3) those violations of law designated by the laws of the United States as misdemeanors.
- (b) The penalty for a class II offense may be any one or any combination of the following, unless prohibited in this subsection:
 - (1) Disciplinary segregation, not to exceed 15 days;
- (2) loss of good time credits, not to exceed three months;
- (3) extra work without incentive pay for not more than two hours each day, not to exceed 20 days;
- (4) work without incentive pay, not to exceed five days. This penalty shall not include a fine and shall apply only to ordinary inmate work assignments;
- (5) restriction to inmate's own cell for a period, not to exceed seven days;
 - (6) restriction from privileges, not to exceed 30 days;
- (7) a fine of not more than \$15.00, unless prohibited by paragraph (b)(4);
 - (8) restitution of at least \$3.00; or
- (9) an oral or written reprimand. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended April 20, 1992; amended July 13, 2007.)
- **44-12-1303.** Class III offenses. (a) Class III offenses shall be those offenses of a less serious nature that are designated in this article as class III offenses, whether or not the offense is also a violation of law. Each violation of any published secretary of corrections' regulation or order of the warden that is not otherwise designated in these regulations or warden's orders as a class I or class II offense shall be a class III offense.
- (b) The penalty for a class III offense may be any one or any combination of the following, unless prohibited in this subsection:
- (1) Restriction to inmate's own cell for not more than three days;
- (2) restriction from privileges for not more than 20 days;
- (3) extra work without incentive pay for not more than two hours each day for a period not to exceed 10 days;
- (4) work without incentive pay, not to exceed five days. This penalty shall not include a fine and shall apply only to ordinary inmate work assignments;
- (5) a fine of not more than \$10.00, unless prohibited by paragraph (b)(4);
 - (6) restitution of at least \$3.00; or
- (7) an oral or written reprimand. (Authorized by and implementing K.S.A 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1,

1984; amended May 1, 1985; amended April 20, 1992; amended July 13, 2007.)

- **44-12-1306.** Use of restitution. (a) When restitution is used in the disciplinary process, the following requirements and limitations shall apply:
- (1) The amount of and manner of payment of restitution imposed may be appealed in the same manner and to the same extent as those for any other appeal of sentence in the disciplinary process.
- (2) The appropriateness and amount of restitution ordered shall be determined by consideration of the factors set forth in K.A.R. 44-12-1307.
- (3) No inmate shall be required to continue payment on any restitution imposed under these regulations while released from incarceration. Upon any subsequent readmission of the inmate to a facility, any restitution owed may be collected. No portion of the inmate's gate money gratuity as authorized by K.S.A. 75-5211, and amendments thereto, shall be used toward the payment of this restitution.
- (4) Restitution shall continue to be paid out of money earned by the inmate in the work release program, the private nonprison employment program, or any other gainful employment industries program. Restitution payment shall be limited to a reasonable amount and, if appropriate, shall be made in installments.
- (5) The inmate shall be given notice in the disciplinary report or, if necessary, in an amended disciplinary report served upon the inmate no later than 24 hours before the hearing of the amount and basis for seeking restitution. The inmate shall be given an opportunity at the sentencing phase of the hearing to present evidence regarding the appropriate amount of restitution. The hearing officer shall limit the evidence to a reasonable amount and extent that is appropriate to the nature of the administrative hearing, the level of the offense, and the extent of possible impact on the inmate's resources.
- (b) If restitution is to be made to an entity, whether or not the entity is a governmental agency or unit, then the money satisfying the order of restitution shall be delivered to that entity. If restitution is paid to an inmate, the money shall be transferred by the clerk from the account of the inmate payer to the account of the inmate payee after the conclusion of the entire disciplinary process, including any appeal. If restitution is paid to any other person, the hearing officer shall determine how payment is to be made, and the warden or designee shall review the payment arrangements for approval, conferring with the facility business manager if appropriate. (Authorized by and implementing K.S.A. 2005 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1981; amended May 1, 1987; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-12-1308.** Disciplinary segregation; limits. (a) The maximum sentence of disciplinary segregation for all violations arising out of one incident shall not exceed 60 days.
- (b) Continuous confinement in disciplinary segregation for more than 30 days shall require the review and approval of the warden. (Authorized by and implementing

K.S.A. 2006 Supp. 75-5210; effective May 1, 1985; amended Jan. 3, 1995; amended July 13, 2007.)

Article 13.—DISCIPLINARY PROCEDURE

- **44-13-101a.** Waiver of rights. (a) Each inmate shall be permitted to voluntarily waive the right to any time limit or process afforded by the disciplinary procedure regulations in this article. The waiver shall be in writing and shall state with specificity the particular time limit or process being waived. The waiver shall be made in the form and manner approved or prescribed by the secretary of corrections. The waiver shall be signed by the inmate and the hearing officer unless the inmate is waiving the right to the disciplinary hearing process by accepting a summary judgment citation as defined in K.A.R. 44-13-201b.
- (b) The inmate shall be informed of the nature of the time limit or process being waived and of the impact and consequence of the waiver.
- (c) Unless the inmate is waiving the right to the disciplinary hearing process by accepting a summary judgment citation as defined in K.A.R. 44-13-201b, the inmate shall be questioned by the hearing officer before accepting the waiver to determine if it is knowingly and voluntarily made. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1984; amended May 1, 1985; amended April 20, 1992; amended July 13, 2007.)
- **44-13-106.** Administration of oaths; designation of persons authorized. (a) The warden, a deputy warden, the disciplinary administrator appointed pursuant to K.A.R. 44-13-105, and those persons serving as hearing officers at the facility disciplinary hearings shall be authorized to administer oaths to witnesses in those proceedings.
- (b) Oaths shall be administered in a form and a manner that are in accordance with K.S.A. 54-101 et seq., and amendments thereto. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective, T-85-37, Dec. 19, 1984; effective May 1, 1985; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-201.** Disciplinary report and written notice. (a) A disciplinary proceeding shall be commenced upon the making of a charge by a disciplinary report.
- (1) The inmate shall be notified in writing by personal service of a copy of the report upon the inmate within 48 hours after the issuance of the disciplinary report, excluding Saturdays, Sundays, and holidays.
- (2) The report shall be served upon the inmate by an officer or unit team manager. The report shall not be served upon the inmate by the same person who brought the charge against the inmate.
- (3) The officer serving the report shall inform the inmate that the inmate may enter a plea of guilty or no contest to the charge at the time of service of the report.
- (A) If the officer serving the report has been appointed as a hearing officer by the warden according to K.A.R. 44-13-105, that officer may immediately, or as soon as possible, accept the inmate's plea of guilty or no contest, conduct a sentencing hearing, and impose sentence by following the procedures established in K.A.R. 44-13-403.

- (B) If the officer serving the report has not been appointed as a hearing officer by the warden according to K.A.R. 44-13-105 or wishes to refer the case to another hearing officer, then the inmate desiring to plead guilty or no contest to the charge at the time of service of the report shall be brought immediately, or as soon as possible, before a hearing officer, who shall accept the inmate's plea of guilty or no contest, conduct a sentencing hearing, and impose sentence by following the procedures established in K.A.R. 44-13-403.
- (4) If necessary, the hearing officer may accept the inmate's plea of guilty or no contest immediately, or as soon as possible, after service of the report, but may delay the sentencing hearing and imposition of sentence for not more than six working days.
- (5) When the unit team manager serves the report, or at any time before the scheduled hearing, the unit team manager may implement one of the following options:
- (A) Offer the inmate diversion of the charge or charges in accordance with K.A.R. 44-13-201a;
- (B) offer the inmate summary judgment in accordance with K.A.R. 44-13-201b; or
- (C) inform the inmate that the inmate may enter a plea of guilty or no contest to the charge at the time of service of the report and, acting as a hearing officer, accept the inmate's plea of guilty or no contest, conduct a sentencing hearing, and impose sentence by following the procedures established in K.A.R. 44-13-403. If the inmate accepts this option, the unit team manager shall forward to the disciplinary administrator the guilty or no contest plea waiver form and disposition and hearing record.
- (b) If the inmate is transferred to another facility before the arrival of the disciplinary report at the receiving facility, service of the report upon the inmate shall be made within 48 hours after arrival of the report, excluding Saturdays, Sundays, and holidays, in the same manner as that specified in subsection (a).
- (c) The disciplinary report shall be written within 48 hours of the offense, the discovery of the offense, or the determination following an investigation that the inmate is the suspect in the case and is to be named as defendant.
- (1) If an alleged violation is based upon uncertain facts, an appropriate investigation shall be initiated within 24 hours of the time the allegation is made and shall be completed without unreasonable delay. The investigation shall determine if a disciplinary action should be initiated or continued by determining whether the allegation is soundly based on reasonably reliable facts. The investigator shall be a staff member, and, if practical, shall be a staff member other than the person making the allegation. If an inmate is making the allegation, the officer who is receiving the allegation and is in a position to write the report may also be the investigator.
- (2) The investigation report may be adopted by the charging officer both as the charge itself, and as the officer's sworn statement in lieu of testimony in any case, in accordance with the regulations. If necessary, pending completion of the investigation, the inmate may be held in administrative segregation for a certain period according to K.A.R. 44-14-302(b).
- (3) The report shall be reviewed and either approved or disapproved by the shift supervisor or unit team man-

ager based on whether or not the report is sound and adequate, and is made in proper manner and form.

- (4) The shift supervisor or unit team manager shall assure that all necessary elements of the alleged violation are contained in the written report of the facts of the incident and that the report does not represent an abuse of the disciplinary process. The shift supervisor or unit team manager shall also make or direct appropriate amendments to the report, including use of the summary judgment procedure under K.A.R. 44-13-201b.
- (5) If the charge is dismissed or the report is otherwise rejected by the shift supervisor or unit team manager, a written explanation shall be made in the record and filed with the report, with a copy given to the officer. The report shall not be destroyed.
- (d) The disciplinary report shall constitute a formal statement of the charge, shall be in a form prescribed by the secretary, and shall include the following:
 - (1) The name and number of the inmate;
 - (2) the institution;
 - (3) the signature and title of the writing officer;
 - (4) the date and time of the alleged offense;
 - (5) the date and time the report is written;
 - (6) the nature of the alleged offense;
- (7) the class, title, and number of the rule or regulation violated, including citation to any underlying statute, regulation, internal management policy and procedure, or published order allegedly violated;
- (8) the specific regulation that is the basis of an attempt, conspiracy, accessory, solicitation, or liability for the offenses of another under K.A.R. 44-12-1101;
 - (9) the names of known staff witnesses;
- (10) a brief description of the circumstances and facts of the violation if, in cases in which the violation is based upon information supplied by a confidential witness or informant, the identity of the witness or informant is not disclosed, nor is any reference or factual detail likely to reveal the identity of the witness or informant;
 - (11) any unusual inmate behavior;
 - (12) the disposition of any physical evidence;
- (13) any immediate action taken, including the use of force; and
- (14) the factual basis for and the amount of any restitution sought for any injury, damage, or other loss caused by or resulting from the violation charged.
- (e) An inmate shall not be charged unless the regulation or law has been made in writing and published.
- (f) The officer may orally warn or reprimand the inmate instead of writing a report or otherwise documenting the incident. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1980; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended May 1, 1987; amended April 20, 1992; amended July 11, 1994; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-201a.** Diversion procedure. (a) In any case involving one or more alleged class I or class II offenses, the charged inmate's unit team manager may initiate, or a member of the inmate's unit team in the inmate's assigned housing unit may request, consideration of diversion of the pending charges from prosecution, in connec-

tion with the formation and implementation of an intervention plan intended to address each behavioral issue presented in the incident in question. If a request is made by a unit team member other than the unit team manager, the request shall be submitted in writing and addressed to the unit team manager, who may decline further action or who may proceed further with the request. The unit team manager's decision about the request shall be final and shall not be subject to hearing or appeal under these regulations or to review pursuant to the inmate grievance procedure or any other administrative remedial procedure.

- (b) The unit team manager may formulate the intervention plan or may assign the diversion request to the inmate's assigned correctional counselor for review and recommendation as to the nature and components of the intervention plan. The unit team manager shall apply for a continuance of the case pursuant to K.A.R. 44-13-402 if necessary in order to complete consideration and formulation of the intervention plan.
- (c) Upon formulation of the intervention plan, the unit team manager shall confer with the reporting officer or supervisor and the inmate. If both parties consent to the diversion, the unit team manager shall present to the inmate for the inmate's execution a written request for continuance of the disciplinary case for the length of time required to carry out the plan, which shall not exceed 180 days, and shall also present the written intervention plan to the inmate and the reporting officer or supervisor. This plan shall be in the form of an agreement to be signed by both parties and the unit team manager. If either party fails to consent, then the case shall proceed for prosecution. If a continuance has been secured by the unit team manager, then the unit team manager shall notify the disciplinary administrator in writing of the failure to agree to diversion.
- (d) As a condition of the agreement specified in subsection (c), the inmate shall waive any right or claim to have the disciplinary case heard and determined within ordinary time limits. The inmate shall also agree and acknowledge that the determination as to whether the inmate has successfully completed the plan is that of the unit team manager, whose decision in that regard shall not be subject to hearing or appeal under these regulations or to review under the inmate grievance procedure or any other administrative remedial procedure.
- (e) The request for continuance specified in subsection (c) shall then be forwarded to the facility disciplinary administrator, who shall proceed to grant the continuance, duly note the length of the continuance specified in the request on the case continuance log, and further note the diversion of prosecution of the charge or charges under the assigned case number.
- (f) If the inmate fails to successfully complete the intervention plan or receives another disciplinary report for any class of offense during the term of the plan, the diversion of the charge or charges from prosecution shall immediately terminate. Upon receipt of written notification of the termination from the inmate's unit team manager, the disciplinary administrator shall proceed to docket the case for hearing, notify the parties, and process

the case according to the ordinary procedures set forth in these regulations.

- (g) If the inmate successfully completes the intervention plan, the reporting officer or supervisor or, in that person's absence, the unit team manager shall submit a written request for dismissal of the case to the disciplinary administrator, who shall cause the case to be shown as dismissed in the records of the administrator's office. The existence of the case and its charge or charges shall not be part of the inmate's master file or any other file subject to review by the Kansas parole board or to disclosure to the public. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective July 13, 2007.)
- **44-13-201b.** Summary judgment procedure. (a) In any case involving one or more alleged class II or class III offenses, the reporting officer may offer the inmate the option of resolving the matter through the summary judgment procedure as an alternative to writing a disciplinary report that leads to initiation of the formal disciplinary hearing process.

(b) Officers shall carry with them or have immediate access to summary judgment citation forms.

- (c) If an officer observes an inmate in the act of committing one or more offenses designated as eligible for summary judgment procedures that the officer believes require more than an undocumented, on-the-spot verbal reprimand, the officer may file a formal disciplinary report against the inmate or offer the inmate summary judgment by issuing a summary judgment citation. If summary judgment is offered to the inmate by the officer, the offer shall not be withdrawn without the commission of additional alleged disciplinary offenses by the inmate.
- (1) The summary judgment citation shall be written, verified pursuant to K.S.A. 53-601 and amendments thereto, and served on the inmate by the reporting officer within 24 hours of the alleged incident, or within 48 hours if directed by the shift supervisor or unit team manager under paragraph (c)(3)(B), and shall include the following:
 - (A) The date and time of each alleged offense;
 - (B) the date and time the citation is written;
 - (C) the name and rule number of each alleged offense;
- (D) a statement of the facts of the alleged incident, including names of witnesses;
- (E) the date and time that the citation is served on the inmate:
 - (F) the summary judgment sanction; and
- (G) the signature of the inmate indicating acceptance or refusal of the summary judgment.
- (2) The officer may impose only one of the following summary judgment sanctions regardless of the number of offenses cited:
- (A) Restriction from privileges for not more than 10 days;
 - (B) a fine not to exceed \$10.00;
- (C) extra work without incentive pay for not more than two hours each day, not to exceed five days;
- (D) work without incentive pay not to exceed five days, which shall apply only to ordinary inmate work assignments; or
- (E) restitution of not less than \$3.00 and not more than \$10.00.

- (3) The inmate may choose whether to accept the summary judgment or to reject it in favor of the formal disciplinary hearing process. This decision shall be made within one hour of the inmate's receipt of the citation, or it shall be assumed that the inmate refused the summary judgment. The officer may choose to impose a different summary judgment sanction after discussion of the incident with the inmate, and this fact shall be documented on the summary judgment citation if the inmate then accepts the summary judgment.
- (A) If the inmate accepts the summary judgment offered, this acceptance shall constitute a waiver of the inmate's right to the benefits of the formal disciplinary hearing process. The waiver of rights established according to K.A.R. 44-13-101a shall be executed by the inmate. Upon the inmate's acceptance of the summary judgment, the sanction shall be immediately imposed, and the shift supervisor or unit team manager shall be notified.
- (B) If the inmate refuses the summary judgment offered, the inmate shall receive the applicable hearing process. The summary judgment citation shall be marked and signed by the officer and the inmate to indicate the inmate's refusal. The citation may then be used in lieu of the more formal disciplinary report to initiate the formal disciplinary hearing process. The citation shall then be submitted to the shift supervisor or unit team manager for review and appropriate disposition, including any amendments that the reviewer may direct, pursuant to K.A.R. 44-13-201(c)(3) and (4). The citation shall subsequently be served upon the inmate in the manner and using procedures that apply to ordinary disciplinary reports.
- (C) If an inmate refuses the summary judgment offered, the inmate shall not be charged with a more serious offense or combination of offenses than was alleged in the summary judgment citation.
- (D) All evidence shall be confiscated or seized in connection with the issuance of a summary judgment citation, and shall be disposed of in accordance with K.A.R. 44-5-111.
- (4) All summary judgment citations accepted by the inmate shall be documented in the inmate's file. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective April 20, 1992; amended July 11, 1994; amended Feb. 15, 2002; amended, T-44-3-11-03, March 11, 2003; amended July 25, 2003; amended July 13, 2007.)
- **44-13-202.** Amendment of the charge. (a) If, in the judgment of the disciplinary administrator, hearing officer, or warden during administrative review, the charge is incorrect or a language change would change the substance of the charge or adversely affect the defense, the charge shall be amended and notice given to the inmate. After this notice is given, the inmate shall have the same period of time between notice and hearing to prepare a defense as would have been permitted when the charge was originally made.
- (b) The same charge shall not be brought twice on the same facts under any circumstance if a factual finding of guilt or innocence has been made. If a case has been dismissed without a factual finding of guilt or innocence,

upon administrative review pursuant to K.A.R. 44-13-701 the reviewing authority may either reinstate the charge or amend the charge as deemed appropriate, and remand the case for hearing.

- (c) After the hearing officer has begun to hear evidence in the case, the hearing officer may permit amendment at any time before a factual finding of guilt or innocence has been made if no additional or different offense is charged and if substantial rights of the defendant are not prejudiced.
- (d) The hearing officer shall ask the inmate which option the inmate chooses:
- (1) Continue the case for hearing on a different date to prepare a defense to the additional or different offense resulting from amendment of the original charge or charges; or
- (2) waive any time period allowed to prepare to defend against any additional or different offense resulting from amendment of the original charge or charges and hold the hearing on the charges at the time of amendment of the disciplinary charge. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1980; amended April 20, 1992; amended July 11, 1994; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-307.** Administrative review of requests for witnesses; denial of requests; issuance of summons; voluntary nature of witness appearance. (a) The disciplinary administrator or hearing officer assigned to hear the charges shall review any written requests for witnesses submitted by the accused inmate according to K.A.R. 44-13-306.
- (b) The disciplinary administrator or hearing officer performing a review of a written request for witnesses may deny the request if, in the judgment of the reviewer, the testimonies proffered on the request form meet any of the following criteria:
 - (1) Are clearly irrelevant or immaterial;
 - (2) are repetitious of other proffered testimony; or
- (3) are properly excluded for reasons specified in K.A.R. 44-13-405a. The truth of the proffered testimony shall be presumed in making this decision.
- (c) Each denial of a request for witnesses shall be documented, including the reason or reasons for the denial, either on the request form or in the disciplinary case record.
- (d) If practicable in the judgment of the reviewer, the inmate shall be informed, in writing and in advance of the hearing, of any denials of requested witnesses and of the reason or reasons for the denials. If informing the inmate is determined not to be practicable, the inmate shall be informed of any denials and reasons for any denials by the hearing officer at the beginning of the hearing.
- (e) If no reason appears from a review of the written proffer of testimony for denial of the request for witnesses, then the disciplinary administrator shall issue a written summons for the appearance of the witness. The appearance of a witness requested by either the reporting officer or the accused inmate shall be voluntary, and neither the request nor the issuance of summons according to this regulation shall compel an appearance. However, issuance of summons by a hearing officer to an inmate or

staff member pursuant to K.A.R. 44-13-403 shall compel an appearance. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210 and K.S.A. 75-5251; effective Feb. 15, 2002; amended July 13, 2007.)

- **44-13-402.** Continuing the hearing; recesses; time limits; extensions. (a) The disciplinary administrator or hearing officer may grant one or more continuances or recesses of appropriate and reasonable length upon application of the inmate, reporting officer, the hearing officer, a unit team manager pursuant to K.A.R. 44-13-201a, or department of corrections for cause shown.
- (b) The hearing officer may also continue the case for a reasonable period, as necessary, subject to the review of the status of the case every 30 days, if any of the following conditions is met:
- (1) The inmate or the employee is unable to appear for medical or psychiatric reasons as certified by the facility or other licensed physician or psychiatrist.
- (2) There is a delay to await determination of whether the case will go to trial in a court of law or to await the outcome of a trial.
- (3) There is an unavoidable delay to await the return of evidence from an analysis laboratory.
- (4) The inmate is transferred to or from a facility for diagnostic evaluation, out to court, or to a mental hospital before hearing.
- (5) The inmate is on "escape" status. At the hearing officer's discretion, the case may be dismissed or heard in absentia on the record, unless the inmate has been apprehended and is available at a known location for return to department of corrections custody for the hearing within six months.
- (6) The case has been placed upon diversion status pursuant to K.A.R. 44-13-201a.
- (c) To obtain a continuance in advance of the hearing, the requesting party shall make the request to the hearing officer or to the disciplinary administrator. If there is a hearing officer appointed for the case, the request shall be forwarded to that officer.
- (1) Reasonable extensions may be obtained with the prior approval of the secretary of corrections or the secretary's designee, in the case of a substantial disruption of order in the facility.
- (2) If an inmate has been transferred to another facility, it shall be the responsibility of the warden of the sending facility to grant an extension of the disciplinary case, which shall not exceed 10 working days.
- (3) At the discretion of the hearing officer, recesses of appropriate and reasonable length may be declared. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1980; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended May 1, 1988; amended April 20, 1992; amended July 11, 1994; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-403.** Conducting the disciplinary hearing. (a) The disciplinary hearing shall consist of the following procedures:
- (1) The hearing officer shall initially inform the inmate of the charges and take the inmate's plea.

- (2) Secondly, the hearing officer shall determine guilt or innocence.
- (3) Finally, if guilt has been established, the hearing officer shall make a disposition, including the determination and imposition of sentence.
- (b) Initially, the hearing officer shall read the disciplinary report to the inmate, including the date, nature of the offense, the reporting officer's name, and a synopsis of the observation. The officer shall ensure that the inmate understands the charges and that a copy of the disciplinary report was received by the inmate. The officer shall also explain the possible penalties. If the hearing officer finds that the inmate is incapable of self-representation, the hearing officer shall continue the hearing as provided in K.A.R. 44-13-402(b)(1), until the inmate regains the ability for self-representation. For purposes of this subsection, "incapable of self-representation" shall mean that the inmate, due to physical or mental disability, whether temporary or permanent, lacks the present ability to assist in the inmate's representation in the case. This term shall not include mere illiteracy.
- (c) A staff assistant shall be permitted to be with the inmate at all stages of the disciplinary hearing only as provided in K.A.R. 44-13-408. The hearing officer shall ensure that the inmate has staff assistance when required by K.A.R. 44-13-408.
- (d) If the inmate is disruptive or refuses to be present, the hearing may proceed in absentia, and the record shall indicate the reason or reasons for the inmate's absence. A staff assistant shall then be assigned and may ask questions of witnesses, present the argument, or otherwise aid the defendant inmate, at the discretion of the staff assistant and subject to rulings of the hearing officer as otherwise provided in this regulation.
- (e) The hearing officer shall entertain and determine any motion for dismissal or objections to holding the hearing, as well as any motions for additional witnesses beyond those identified already in the witness list previously submitted. Additionally, the hearing officer shall advise the inmate of the inmate's rights to proceed to a determination of guilt or innocence, and if necessary, the application of penalties, and to receive staff assistance in certain cases, according to K.A.R. 44-13-408, and of other procedural due process rights.
- (f) The hearing officer shall then ask the inmate to plead guilty, not guilty, or no contest. The plea shall be entered if the presiding officer is assured that the plea is made knowledgeably and without threat or promise of reward to the inmate. If the inmate refuses to plead, the hearing officer shall enter a plea of not guilty. A plea of no contest shall be treated in the same manner as that for a plea of guilty. If the inmate pleads guilty or no contest, the inmate shall waive the right to a determination of guilt or innocence, but shall reserve the right to participate in the penalty phase of the hearing to the extent of offering a brief argument in mitigation of the penalty to be imposed. If the inmate pleads guilty or no contest, the inmate shall not be allowed to introduce evidence regarding the inmate's guilt or innocence of the charge or charges.
- (g) The hearing officer shall, upon a plea of guilty or no contest, make a finding of guilt and conduct a sentencing hearing, and may impose a sentence.

- (h) If the hearing officer finds that the case shall be dismissed, the officer may dismiss the charge on the officer's own motion or on motion of either party. The hearing officer shall give a brief explanation on the record and provide a copy of the explanation to the reporting officer.
- (i) Only the relevant facts shall be employed in any determination of guilt or innocence. In the penalty phase, the inmate's entire facility record and other relevant facts, observations, and opinions may be considered.
- (j) The hearing officer shall rule on all matters of evidence. Strict rules of evidence, as used in a court of law, shall not be required, but the hearing officer shall exercise diligence to admit reliable and relevant evidence and to refuse to admit irrelevant or unreliable evidence.
- (k) The hearing officer shall rule on all matters of assistance for the accused inmate in accordance with these regulations. If the accused inmate is furnished with staff assistance according to K.A.R. 44-13-408, the staff assistant shall be permitted to fully assist the accused and shall be permitted to question witnesses and present arguments on behalf of the accused inmate, except as otherwise provided by these regulations.
- (l)(1) The disciplinary process shall, to the extent possible, discover the truth regarding charges against the inmate. For this purpose, the hearing officer shall be authorized to call and to interrogate any witness, and each inmate, staff member, volunteer, or contract employee called as a witness by the hearing officer shall be compelled to appear. The hearing officer may bring out the facts by direct or cross-examination but shall not act as prosecutor on behalf of the facility or charging officer against the accused inmate, or on behalf of the inmate. Testimony and evidence shall not be received by the hearing officer or introduced outside the presence of the accused inmate, except that the accused inmate shall not be present when the hearing officer reviews any facility security videotape evidence. An inmate shall not be required to be present at the disciplinary hearing as provided in subsections (d), (e), and (m) and K.A.R. 44-13-402(b)(5), and as otherwise provided in these regulations.
 - (2) The hearing shall proceed as follows:
- (A) The prosecution shall present its evidence, and the defense shall be permitted to cross-examine, except as otherwise provided by these regulations.
- (B) The defense shall present its evidence, and the prosecution shall be permitted to cross-examine.
- (C) The prosecution may make a closing argument. The defense may make a closing argument, and then the prosecution may make a short rebuttal.
- (m)(1) If the hearing officer determines that the testimony of any inmate will subject that inmate to possible retaliation for having testified, the hearing officer may perform either of the following:
- (A) Receive the testimony in confidence without confrontation or cross-examination by the accused inmate, and the witness may be sequestered; or
- (B) receive testimony from an investigator who interviewed an inmate informant and relied on the confidential information provided.
- (2) The testimony of the inmate witness given under oath shall be examined and tested by the hearing officer.

The hearing officer shall closely question the testifying inmate to determine the veracity and weight of the testimony offered. The hearing officer shall complete a credibility assessment form, which shall be available for confidential review by the warden and secretary of corrections.

- (3) If the informant inmate does not testify, the hearing officer may establish the reliability of the information provided to the testifying investigators by any of the following:
- (A) The testimony of the investigator regarding the reliability of the informant in the past, which shall include specific examples of past instances of reliability;
- (B) the testimony of the investigator regarding the truthfulness of details that the investigator has been able to verify through investigation;
 - (C) corroborating testimony;
- (D) a statement on the record by the hearing officer that the hearing officer has firsthand knowledge of the informant and considers the informant to be reliable due to the informant's past record of reliability, which shall include specific examples of past instances of reliability; or
- (E) in camera review of material documenting the investigator's assessment of the credibility of the informant.
- (4) The accused shall be apprised of the general nature of the confidential testimony, omitting those details that would tend to identify the inmate who gave the confidential testimony or provided confidential information to the testifying investigator. The identity of any confidential witness or of any inmate informant shall not be disclosed to the accused, to any other inmate, or to any staff not required to complete the process. The staff assistant shall be permitted to be present when the board receives testimony from the confidential witness, or investigator, and the staff assistant may ask questions. The inmate's staff assistant shall not disclose the identity of the confidential witness or inmate informant to the accused, to any other inmate, or to any staff not required to complete the hearing process. The testimony shall be recorded for confidential review by the warden and, on appeal, by the secretary of corrections.
- (n) The hearing officer may require the accused to explain briefly what the purpose and nature of the testimony of a witness will be. The request to call the witness may be denied or the testimony reasonably and fairly restricted if the testimony meets any of the following criteria:
 - (1) Relates to something already disposed of;
 - (2) is clearly irrelevant or immaterial;
 - (3) is repetitious of other testimony; or
- (4) is properly excluded for reasons specified in K.A.R. 44-13-405a.

The truth of the testimony shall be presumed in making this decision.

(o) A witness request made at the hearing and not previously submitted shall not be permitted unless exceptional circumstances outside the control of the inmate exist and the testimony would most likely affect the outcome of the hearing. The hearing officer shall inform the inmate of any witness deemed waived by the failure to make a timely request.

- (p) The hearing officer, in deciding whether or not the inmate is guilty, shall consider only the relevant testimony and report. The accused inmate's correctional and supervision record shall not be considered in determining guilt or innocence. The decision in the hearing shall be based solely on evidence presented as part of the hearing.
- (q) Confrontation and cross-examination may be denied by the hearing officer if deemed necessary in any case except class I cases. In class I cases, confrontation and cross-examination may be limited or denied if necessary to protect the safety of an accuser, informant, or witness or if necessary to maintain facility safety, security, and control. Unless there is a security risk endangering some person, the explanation shall be in the record. If there is such a security risk, a written explanation of the reason shall be sent to the warden with a copy to the secretary for confidential review. However, an inmate held in administrative or disciplinary segregation whose hearing is conducted by telephone, as provided by K.A.R. 44-13-404(e), shall not be permitted to confront any witnesses against the inmate, including the reporting officer.
- (r) After the conclusion of the presentation of evidence regarding guilt or innocence or disposition, if the hearing officer needs the charging officer, the accused inmate, or both present to provide further information to clarify facts, both parties shall be present to hear what the other is saying unless exempt under subsection (m) or (p) above. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1980; amended May 1, 1981; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended May 1, 1986; amended April 20, 1992; amended July 11, 1994; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-404.** Presence of inmate and presence of charging officer at disciplinary hearings; officer statements in lieu of testimony. (a) The inmate shall be present at all stages of the disciplinary hearing and disposition except as otherwise provided by these regulations or by law. Subject to the provisions of subsection (e), if the inmate is not present, then a staff assistant shall be assigned in accordance with K.A.R. 44-13-403 and 44-13-408.
- (b)(1) In class I cases, the charging officer shall be present in person or by telephone, as determined by the hearing officer, for direct examination and cross-examination, unless excused by the hearing officer or unless the inmate has been transferred to another facility. The hearing officer may excuse the charging officer only if any of the following is determined:
- (A) Facility safety or correctional goals would be jeopardized.
- (B) The charging officer is absent from duty due to activation for military service.
- (C) The charging officer has been separated from employment with the facility for reasons unconnected to investigation of the charges or issuance of the disciplinary report.
- (D) The charging officer is otherwise unlikely to be available for testimony within a reasonable time period

as determined by the hearing officer, and a continuance pursuant to K.A.R. 44-13-402 either is not applicable or is not appropriate in the judgment of the hearing officer.

Facility safety or correctional goals shall not include considerations of mere convenience. If the officer is not present, the officer's report and statement shall be made to the hearing officer in writing under oath. Copies of the report shall be provided to the inmate, and it shall be read aloud at the hearing unless confidentiality is required to protect an inmate accuser, informant, or witness. If the charging officer is excused from appearance, the hearing officer shall document the ground for the excuse and shall likewise document the facts underlying the ground relied upon in the case record.

- (2) If an inmate has been transferred to another facility after a disciplinary report was written in a class I case, the testimony of the charging officer and other witnesses regarding that report may be taken by telephone at the discretion of the hearing officer. Except as provided in K.A.R. 44-13-403(m) and (q), any testimony taken by telephone shall be taken in a manner that can be heard by all those present at the hearing, and shall be subject to the same procedures as though the witness were personally present at the hearing.
- (c)(1) In class II and III cases, the officer's attendance shall not be required unless deemed necessary by the hearing officer. The officer's report and statement shall be submitted to the hearing officer in writing under oath. It shall be read aloud at the hearing, and a copy shall be given to the inmate unless confidentiality is required to protect an inmate accuser, informant, or witness according to K.A.R. 44-13-403(m). If such confidentiality is required, but it is possible to protect the inmate accuser, informant, or witness by editing out certain portions of the report and statement, then those portions shall be edited and the inmate provided with a copy. The hearing officer may contact the officer, by telephone or radio, to ask questions or clarify the facts while the hearing is being conducted or while the matter is being considered for decision.
- (2) In all class II and III cases, if the charging officer requests, the hearing officer shall allow the charging officer to be present. In such a case, the officer shall be present throughout and shall be subject to direct examination, confrontation, and cross-examination unless restricted by the hearing officer according to these regulations.
- (d) (1) The officer's statement under oath shall consist of the officer's rendition of all the facts of the case resulting from the charging officer's complete fact investigation. To the best of the officer's ability, it shall show all relevant and material facts that might be used to support both the facility's case against the inmate and the inmate's defense. If the officer is uncertain of a fact, the officer shall state that with respect to the fact. The charging officer may either adopt or defer under oath to any official neutral fact investigation report that might be conducted by another person or may submit the charging officer's own statement in addition to the investigation report.
- (2) Confidential inmate testimony may be deleted from the statement in lieu of testimony and reported separately. The hearing officer shall receive any confidential inmate testimony in accordance with K.A.R. 44-13-403.

- (e) Hearings for inmates detained or held in administrative or disciplinary segregation status may be conducted by telephone, with the inmate remaining in the inmate's cell and outside the immediate physical presence of the hearing officer and any witnesses, including the reporting officer, at the discretion of the hearing officer. Except as provided in K.A.R. 44-13-403(m) and (q), any testimony taken by telephone shall be taken in a manner that allows the testimony to be heard by all those present at the hearing. The testimony taken by telephone shall be subject to the procedures governing the testimony of any witness personally present at a hearing. A staff assistant shall not be required to be appointed to render assistance to the inmate unless at least one of the circumstances set forth in K.A.R. 44-13-403 or 44-13-408 is present. The inmate shall be permitted to submit written motions, exhibits, or affidavits on the inmate's behalf to the hearing officer to the extent and under the circumstances applicable to documentary presentations under these regulations. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1980; amended, T-83-23, Aug. 11, 1982; amended, T-84-1, Jan. 5, 1983; amended May 1, 1984; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-405a.** Calling witnesses. (a) In determining whether to allow the inmate to call a witness from the facility population or from among facility employees, the hearing officer shall balance the inmate's interest in avoiding loss of good time and assessment of a fine or placement in disciplinary segregation against the needs of the facility. These needs of the facility shall include the following:
- (1) The need to keep the hearing within reasonable time limits;
- (2) the need to prevent the creation of a risk of retaliation and reprisal;
 - (3) the need to prevent the undermining of authority;
- (4) the need to limit, to a reasonable level, access to other inmates for the purpose of collecting statements or compiling documentary evidence;
 - (5) the need to prevent disruption;
 - (6) the need to administer swift punishment;
- (7) the need to avoid irrelevant, immaterial, or unnecessary testimony and evidence;
- (8) the need to reduce or prevent security hazards that could be presented in individual cases;
- (9) the need to use the disciplinary process as a rehabilitative tool to modify inmate behavior;
- (10) the need to prevent the creation of undue risk to personal or facility safety;
- (11) the need to reduce the chances of seriously inflaming tension, frustration, resentment, and antagonism in the relationship between inmates and facility personnel;
- (12) the need to correct the behavior of inmates and develop in them a value system in order to foster their eventual return to the community; and
- (13) the need for the prompt, efficient, and effective resolution of the disciplinary case with accurate and complete fact-finding consistent with the level of process required by law for facility disciplinary cases.
- (b) The hearing officer shall have broad discretion in permitting or denying the witness request. In exercising

the discretion, the hearing officer shall balance the inmate's request and wishes against the needs of the facility. The goal of the hearing officer shall be to conduct the fact-finding process in a manner leading to the discovery of the truth.

- (c) The hearing officer shall neither abuse the discretion entrusted to that officer nor interfere with the level of process that is reasonably necessary to find the truth.
- (d) With the charged inmate's consent, the hearing officer may admit the affidavit of a non-party witness in lieu of an appearance by the witness. If a witness is denied or cannot attend in a timely manner, the hearing officer may also admit the affidavit of this witness.
- (e) If a request to call a witness is denied, a written explanation shall be made on the record unless it would endanger any person. In this case, a written explanation shall be made to the warden with a copy, on appeal, to the secretary of corrections for confidential review. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1984; amended May 1, 1987; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-406. Disposition.** (a) The disposition shall be rendered by the hearing officer in an official session with the inmate present unless otherwise provided by law or regulation. The disposition shall be made without unreasonable delay following the hearing, preferably at the conclusion of the hearing.
- (b) The disciplinary hearing officer shall sentence the inmate by selecting an appropriate disposition, or appropriate combination of dispositions, from the following options:
- (1) Impose a penalty or penalties in accordance with the applicable penalty regulation for that class of offense;
- (2) In the instance of two or more offenses, including imposition of previously suspended sentences, in which the penalty has a time component, order whether the sentences are to be served concurrently or consecutively. If the hearing officer makes no specific order in this regard, the sentences shall be computed on a concurrent basis;
 - (3) impose previously suspended sentences; or
- (4) suspend all or part of the sentence imposed for a period of not less than 90 and not more than 180 days.
- (c) The hearing officer shall make a recommendation regarding disposition of evidence. The warden shall determine final disposition of the evidence, in accordance with K.A.R. 44-5-111, in the warden's administrative review of the disciplinary report pursuant to K.A.R. 44-13-701.
- (d) Upon request, the reporting staff person may be notified of the disposition. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended, T-86-4, March 22, 1985; amended May 1, 1986; amended May 1, 1987; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-408. Assistance from staff.** (a) If the hearing officer finds that at least one of the following conditions is met, the hearing officer shall appoint a staff member from an approved list to act as staff assistant to aid the

- inmate at the disciplinary hearing and to question relevant witnesses:
- (1) The inmate is incapable of self-representation due to physical or mental disability, whether temporary or
 - (2) The inmate is illiterate in the English language.
- (3) The charge is too complex for the inmate to readily comprehend or defend against.
- (4) Testimony or other evidence will be given, either directly or indirectly, by a confidential inmate informant or witness.
- (5) The inmate either refuses to attend or has been removed from the hearing.
- (6) Any other circumstance exists that, in the judgment of the hearing officer, substantially impairs the inmate's ability to participate meaningfully in the inmate's defense.
- (b) A list of staff members to aid the inmate as staff assistants shall be made available to the hearing officer by the warden. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended, T-83-23, Âug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended May 1, 1986; amended April 20, 1992; amended Jan. 3, 1995; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-603. Absence from facility.** (a) If the inmate is sentenced to disciplinary segregation, restriction to cell, or restriction from privileges and if the inmate is then transferred out to court or to a mental hospital before commencing or completing the sentence, that time spent outside the facility shall not be credited against the service of the sentence. Upon return to the facility, the inmate shall serve the remainder of the sentence, unless the warden determines that the best interests of the inmate or facility warrant that the sentence be suspended.
- (b) If the inmate is paroled, conditionally released, or released on postrelease supervision before completion of serving the sentence, the inmate may be required to complete serving the sentence upon the inmate's subsequent return to a facility. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1986; amended April 20, 1992; amended July 11, 1994; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-610.** Collection of fines. (a) Upon disposition of the case, a fine may be collected immediately, without further hearing process, from the inmate's trust account. The fine shall be collected only on written order of the disciplinary administrator.
- (b) The fine shall be taken from any money that the inmate has credited to the trust account administered by the department of corrections or the contract facility. The fine shall not be deducted or taken from the gratuity, travel, or clothing allowance provided to the inmate upon release.
- (c) No inmate, while released from incarceration, shall be required to continue payment on any fine imposed under these regulations. Upon any subsequent admission, the fine may be collected.
- (d) If the inmate is transferred to another department of corrections or contract facility before collection, collec-

tion may be made by the receiving facility on order of the warden of the sending facility, as approved and confirmed by the warden of the receiving facility. The proceeds of the fine shall be deposited to the inmate benefit fund at the facility where the collection is made. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210, K.S.A. 75-5251; effective May 1, 1984; amended May 1, 1985; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)

- **44-13-701.** Administrative review. (a) In class I and II offense cases, within seven working days after preparation of the record, there shall be a review of the case, without the presentation of further arguments from either side, regarding compliance with the disciplinary procedure. One or more of the following actions may be performed by the warden
 - (1) Approve the decision;
- (2) reinstate a charge that has been dismissed without a factual finding of guilt or innocence and remand the disciplinary case to the disciplinary administrator;
- (3) amend the charge in accordance with the provisions of K.A.R. 44-13-202 and remand to the disciplinary administrator;
 - (4) disapprove the decision and dismiss the case;
 - (5) reduce the penalty;
- (6) suspend all or part of a sentence for a period of at least 90 but not more than 180 days;
- (7) remand the case to the disciplinary administrator and order a new hearing;
- (8) remand the case to the disciplinary administrator for clarification of the record, and return the case to the warden for further consideration; or
- (9) reduce the disciplinary report to a summary judgment and impose one of the following:
- (A) Restriction from privileges for not more than 10 days;
 - (B) a fine not to exceed \$10.00;
- (C) extra work without incentive pay for not more than two hours each day, not to exceed five days;
- (D) work without incentive pay, not to exceed five days. This penalty shall not include a fine and shall apply only to ordinary inmate work assignments; or
- (E) restitution of not less than \$3.00 and not more than \$20.00.
- (b) Disposition of personal property that has been found to be the subject of a violation of one or more disciplinary regulations shall be provided for by the warden in accordance with K.A.R. 44-5-111 if the property is the subject matter of the offense.
- (c) The inmate shall be notified by the warden of the results of the review by way of service of a copy of the disciplinary case record, without unnecessary delay, but in no case later than seven working days after review of the record. The date of review shall not be counted.
- (d) Any mistake of law or other clear error may be corrected by the warden, at any time before a decision is made by the secretary in any ensuing appeal by the inmate, with the appeal permitted to continue as to any other point still unresolved by the warden's action as required by K.A.R. 44-13-703.
- (e) In class III offense cases that do not include class I or class II offenses, if possible, the reviewer shall not be

the warden. An impartial employee of suitable rank and experience shall be designated by the warden to perform the review. A person who was the hearing officer shall not act as reviewing authority, nor shall the reviewer be any person involved in the offense as witness or reporting officer. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended May 1, 1987; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)

44-13-703. Appeal on the record to secretary of corrections in class I and II offense cases only. (a) In class I and II offense cases, the inmate shall have the right to appeal on the record to the secretary of corrections from a final decision made by the disciplinary hearing officer, after review of the decision by the warden. If a class III offense is included among class I or II offenses, the class III offense shall be subject to review by the secretary of corrections.

The inmate shall be notified of the right of appeal before or immediately following the warden's review.

- (b) The inmate may, on forms provided by the unit team, prepare the inmate's own appeal. The unit team shall ensure that all data necessary to identify and properly log the appeal is provided and forwarded to the disciplinary administrator.
- (c) The inmate shall submit the appeal within 15 days of the date of receiving the inmate's copy of the final action
- (d) If the inmate pleads guilty or no contest at the hearing, an appeal of the penalty imposed may be brought, but no appeal of the finding of guilt shall be permitted unless the inmate alleges and shows any of the following:
 - (1) The inmate was under duress at the time of the plea.
- (2) Fraud or substantial error was involved in the inmate's plea of guilty or no contest.
- (3) The inmate was not advised of the nature of the hearing and the rights that the inmate would waive by that plea.
- (e) The facility's legal counsel may be asked by the secretary to prepare and submit a responsive argument. The responsive argument shall be submitted to the secretary within five calendar days of receipt of the request. The secretary's request for a responsive argument shall not extend the time limits for the secretary's review of the inmate's disciplinary appeal as established in K.A.R. 44-13-704.
- (f) Any mistake of law or other clear error may be corrected by the warden at any time before a decision is made by the secretary in any ensuing appeal by the inmate, with the appeal permitted to continue as to any other point still unresolved by the warden's action, as required by K.A.R. 44-13-701. (Authorized by and implementing K.S.A. 2005 Supp. 75-5210; effective May 1, 1980; amended May 1, 1985; amended May 1, 1987; amended April 20, 1992; amended Feb. 15, 2002; amended July 13, 2007.)
- **44-13-704.** Secretary of corrections' final review on appeal. (a) Within 15 working days after an appeal is received, all cases appealed to the secretary shall be re-

viewed by the secretary or designee. Any of the following actions may be taken by the secretary or designee:

- (1) Approve the decision;
- (2) reinstate a charge that has been dismissed without a factual finding of guilt or innocence and remand the disciplinary case to the disciplinary administrator for a new hearing;
- (3) amend the charge in accordance with K.A.R. 44-13-202 and remand the disciplinary case to the disciplinary administrator for a new hearing;
 - (4) disapprove the decision and dismiss the case;
 - (5) reduce the penalty;
- (6) suspend all or part of a sentence for at least 90 and not more than 180 days;
- (7) remand the case to the disciplinary administrator and order a new hearing;
- (8) remand the case to the disciplinary administrator for clarification of the record and return the case to the secretary for further consideration;
- (9) reduce the disciplinary report to a summary judgment and impose one of the following:
- (A) Restriction from privileges for not more than 10 days;
 - (B) a fine not to exceed \$10.00;
- (C) extra work without incentive pay for not more than two hours each day, not to exceed five days;
- (D) work without incentive pay, not to exceed five days. This penalty shall not include a fine and shall apply only to ordinary inmate work assignments; or
- (E) restitution of at least \$3.00 and not more than \$20.00; or
- (10) remand the case to the disciplinary administrator with any instructions necessary to ensure compliance with the disciplinary procedure and rules of conduct.

The date of receipt shall not be counted. The secretary's decision shall be final. A copy of the written appeal decision shall be given to the inmate within 15 working days following the secretary's decision. If the appeal is denied, the reason for that decision shall be included in the written appeal decision.

- (b) The secretary's review shall determine the following:
- (1) Whether there was substantial compliance with departmental and facility standards and procedures;
- (2) whether the hearing officer's decision was based on some evidence; and
- (3) whether, under the circumstances, the penalty imposed was appropriate and proportionate to the offense. (Authorized by and implementing K.S.A. 2006 Supp. 75-5210; effective May 1, 1980; amended May 1, 1981; amended, T-83-23, Aug. 11, 1982; amended, T-84-6, May 1, 1983; amended May 1, 1984; amended May 1, 1985; amended May 1, 1988; amended April 20, 1992; amended Jan. 3, 1995; amended Feb. 15, 2002; amended July 13, 2007.)

Roger K. Werholtz Secretary of Corrections

Doc. No. 034575

State of Kansas

Kansas Health Policy Authority

Permanent Administrative Regulations

Article 5.—PROVIDER PARTICIPATION, SCOPE OF SERVICES, AND REIMBURSEMENTS FOR THE MEDICAID (MEDICAL ASSISTANCE) PROGRAM

30-5-65. (Authorized by and implementing K.S.A. 39-708c; effective May 1, 1981; amended, E-82-11, June 17, 1981; amended May 1, 1982; amended May 1, 1985; amended May 1, 1986; amended May 1, 1987; amended May 1, 1988; amended, T-30-12-28-89, Jan. 1, 1990; amended, T-30-2-28-90, Feb. 28, 1990; amended Aug. 1, 1990; amended May 1, 1992; amended July 1, 1994; revoked July 13, 2007.)

Article 5.—PROVIDER PARTICIPATION, SCOPE OF SERVICES, AND REIMBURSEMENTS FOR THE MEDICAID (MEDICAL ASSISTANCE) PROGRAM

- **129-5-65.** Filing limitations for medical claims. (a) Each provider shall submit all medical claims to the Kansas medical assistance program within 12 months from the date of service.
- (b) Any provider may resubmit a denied claim for payment to the Kansas medical assistance program if the resubmission meets the following requirements:
 - (1) Is within 24 months from the date of service; and
- (2) is in conformance with all billing requirements of the medicaid/medikan program.
- (c) The Kansas medical assistance program shall reimburse only claims that are submitted in accordance with subsection (a) or with subsections (a) and (b).
- (d) Each of the following claims shall be an exception to subsections (a) and (b) and shall be payable by the Kansas medical assistance program:
- (1) Any claim that is submitted to medicare within 12 months from the date of service, is paid or denied for payment by medicare, and is subsequently received by the Kansas medical assistance program within 30 days from the date of medicare's payment or denial of payment:
- (2) any claim determined by the Kansas health policy authority to be payable by reason of administrative appeals, court action, or agency error;
- (3) any claim for emergency services rendered by an out-of-state provider who is not already enrolled as a program provider;
- (4) any claim for services provided to a recipient that is submitted to the Kansas medical assistance program within 12 months from the date on which the agency issues a notice of action under K.A.R. 129-6-38; and
- (5) any claim specified in paragraph (d) (1), (2), (3), or (4) that is not payable under that paragraph but that the Kansas health policy authority determines is the result of extraordinary circumstances. (Authorized by K.S.A. 2006 Supp. 75-7403 and 75-7412; implementing K.S.A. 2006 Supp. 75-7405 and 75-7408; effective July 13, 2007.)

Marcia J. Nielsen, Ph.D., MPH Executive Director

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